

This version of the Aged Residential Hospital Specialised Services Agreement incorporates all of the amendments made to the Agreement up to and including the 2011 amendments.

Aged Residential Hospital Specialised Services Agreement

between

XXX DHB

XXX DHB Address

Ph:

Fax:

Contact: <<CONTRACTDEPUTY_NAME>>

AND

<<PROVIDER_NAME>>

For the Provision of Aged Residential Hospital Specialised Services

<<PROVIDER_ADDRESS>>

<<PROVIDER_ADDRESS2>>

<<PROVIDER_CITY>>

Ph: <<PROVIDER_PHONE>>

Fax: <<PROVIDER_FAX>>

Contact: <<PRVDRCONTACT_NAME>>

CONTENTS

PART 1: HEAD AGREEMENT	1
<i>SECTION A: GENERAL TERMS AND CONDITIONS</i>	1
A1. AGREEMENT AND TERM.....	1
A2. SERVICE PROVISION.....	1
A3. MAORI HEALTH.....	1
A4. DEVELOPMENT OF POLICIES ETC	2
A5. YOUR ENTITLEMENT TO CLAIM RESIDENTIAL CARE SUBSIDY	2
A5A. INFORMATION FOR POTENTIAL NEW RESIDENTS	3
A6. PAYMENTS.....	3
A7. PAYMENT DURING TEMPORARY ABSENCE.....	3
A8. PAYMENT FOR DAYS OF ADMISSION, DISCHARGE, TRANSFER OR DEATH.....	4
A9. OVERPAYMENTS.....	4
A10. SET OFF	4
A11. WITHHOLDING OF PAYMENTS.....	5
A12. INSPECTION OF RECORDS UNDER HEALTH ACT 1956	5
A13. CHARGES TO SERVICES USERS	5
A14. NO OTHER BENEFIT FOR SERVICES	6
A14A. AUDIT UNDER THE HEALTH AND DISABILITY SERVICES (SAFETY) ACT 2001	6
A15. AUDIT ACCESS AND PROCESS.....	6
A16. AUDIT REPORTING AND COMPLETION.....	9
A17. AUDIT REVIEW.....	12
A18. FINANCIAL MANAGEMENT AND AUDIT	12
A19. INFORMATION REPORTING REQUIREMENTS.....	13
A20. UNCONTROLLABLE EVENTS	13
A21. REVIEW	14
A22. YOUR FAILURE TO MEET OBLIGATIONS	14
A23. VARIATIONS TO THIS AGREEMENT.....	16
A24. TERMINATION OF THIS AGREEMENT.....	17
A25. CONSEQUENCES OF TERMINATION.....	18
A26. DISPUTE RESOLUTION	19
A27. INSURANCE	20
A28. INDEMNITY.....	20
A29. WARRANTY.....	20
A30. ASSIGNMENT AND TRANSFER.....	20
A31. MISCELLANEOUS	21
<i>SECTION B: INFORMATION AND REPORTING REQUIREMENTS</i>	29
B1. REPORTING REQUIREMENTS.....	29
B2. REPORTING INTERVALS AND TIMELINESS.....	29
B3. ACCURACY, CONSISTENCY AND COMPLETENESS.....	29
B4. READABILITY AND AVAILABILITY.....	29

B5.	FORWARDING YOUR COMPLETED REPORT	30
B6.	AUDITABILITY AND ACCESSABILITY	30
B7.	AUTHENTICITY	31
B8.	AD-HOC INFORMATION REQUIREMENTS	31
B9.	FEEDBACK	31
	PART 2: SPECIFICATIONS.....	32
	<i>SECTION C: PROVIDER SPECIFIC PAYMENTS</i>	32
C1.	SERVICE DETAILS.....	32
C2.	PRICE.....	32
C3.	CALCULATION OF RESIDENTIAL CARE SUBSIDY.....	32
C4.	PAYMENT PROCESS	32
	<i>SECTION D: SERVICE SPECIFICATIONS – GENERAL</i>	34
D1.	COMPLIANCE WITH LEGISLATION AND STANDARDS.....	34
D2.	INTERPRETATION	34
D3.	SERVICE PHILOSOPHY	34
D4.	SERVICE OBJECTIVES AND ENTRY	35
D5.	PROVIDER POLICIES	35
D6.	CODE OF RESIDENTS' RIGHTS	37
D7.	CLINICAL RECORD SYSTEM.....	37
D8.	ATTENDANCE BY GENERAL PRACTITIONER OR OTHER HEALTH PRACTITIONER.....	37
D9.	HANDOVER REPORT	37
D10.	DEATH/TANGIHANGA	37
D11.	SERVICE INFORMATION	38
D12.	ADVICE TO RESIDENTS	38
D13.	ADMISSION AGREEMENT	39
D14.	EXCLUSIONS FROM SERVICE.....	40
D15.	ACCOMMODATION, FACILITIES AND EQUIPMENT	41
D16.	INDIVIDUAL SUPPORT & CARE SERVICES	43
D17.	HUMAN RESOURCES	48
D18.	SUPPLIES	53
D19.	QUALITY FOCUS AND RISK MANAGEMENT	53
D20.	OTHER SERVICES.....	57
D21.	DISCHARGE, TRANSFER OR DEATH OF SUBSIDISED RESIDENT.....	58
D22.	REPORTING REQUIREMENTS	58

PART 1: HEAD AGREEMENT

SECTION A: GENERAL TERMS AND CONDITIONS

A1. AGREEMENT AND TERM

- A1.1 We agree to purchase and you agree to provide, at the Unit specified in clause C1.1, Aged Residential Hospital Specialised Services, that is, psychogeriatric services (“Services”) on the terms and conditions set out in this Agreement, which you and we enter into under section 25 of the New Zealand Public Health and Disability Act 2000 (the “NZPHD Act”).
- A1.2 To avoid doubt, the Services you provide to Subsidised Residents are contracted care services as defined in the Social Security Act 1964 (the “Social Security Act”).
- A1.3 This Agreement means Part 1 (the head agreement) together with Part 2 (the specifications). If there is any conflict between Part 1 and Part 2, the terms of Part 2 will prevail.
- A1.4 Expressions used in this Agreement are defined in clauses A31.7 and A31.8.
- A1.5 This Agreement shall commence on the Commencement Date and, subject to any rights of review, amendment, variation or termination will apply until terminated in accordance with its terms.

A2. SERVICE PROVISION

- A2.1 You must provide the Services for which you receive payment under this Agreement:
- a. In a prompt, efficient, professional and ethical manner;
 - b. In accordance with all relevant law; and
 - c. In accordance with the service specifications set out in Section D,
- from the Commencement Date without material interruption until this Agreement is varied or terminated in accordance with the terms of this Agreement.
- A2.2 You must use your best endeavours to provide the Services in a manner that is consistent with any relevant health strategy, disability strategy and any strategy for the development and use of nationally consistent standards, quality assurance programmes and performance monitoring determined by the Minister under section 8 or section 9 of the NZPHD Act, except to the extent that any such strategy is inconsistent with this Agreement.

A3. MAORI HEALTH

- A3.1 You must ensure that the specific needs of Residents who identify themselves as Maori (“Maori Residents”) are met in a manner that respects and acknowledges their individual values and beliefs. This requires you to:
- a. Identify and respond to the cultural values and beliefs of Maori Residents and their whanau;
 - b. Identify and eliminate barriers to Maori Residents that are within your control;

- c. Recognise and support the importance of whanau and their involvement with Maori Residents;
- d. Acknowledge and meet the right of Maori Residents to practice their cultural values and beliefs while receiving the Services; and
- e. Consult Tangata Whenua in order to meet the needs of Maori Residents during the provision of the Services.

A3.2 You must develop policies and procedures (“Maori Health Plan”) to guide staff in order to ensure that the specific needs of Maori Residents are met. The Maori Health Plan must include, but is not limited to, a description of how you will achieve the requirements set out in clause A3.1 (a) to (e).

A4. DEVELOPMENT OF POLICIES ETC

A4.1 Where you are required to develop a written policy, procedure, programme, protocol, guideline, information, system or plan in order to meet any provision under this Agreement, you will:

- a. Develop such a document;
- b. Demonstrate systems for reviewing and updating all such documents regularly;
- c. Demonstrate implementation;
- d. Demonstrate that staff are adequately informed of the content and the intent of these documents; and
- e. Provide us with a copy of the relevant document on request.

A5. YOUR ENTITLEMENT TO CLAIM RESIDENTIAL CARE SUBSIDY

A5.1 We will pay a Residential Care Subsidy for a Person:

- a. Who is an eligible person, as that term is defined in the Social Security Act;
- b. Who has been assessed as requiring long-term residential care in a hospital or rest home indefinitely, in accordance with the Social Security Act;
- c. In respect of whom we are liable under the Social Security Act for all or some of the cost of age related residential care services you provide to that Person; and
- d. Who suffers from a psychogeriatric condition, that is:
 - i. dementia with high dependency needs and challenging/antisocial behaviour (for example, aggression, potential for self-harm, extreme and inappropriate behaviour); or
 - ii. a combination of an age-related disability and a mental health condition with high dependency needs and challenging/antisocial behaviour (as described in subparagraph (i)).

A5.2 To avoid doubt, we will not pay you a Residential Care Subsidy in respect of:

- a. Persons who are admitted to your Unit only because of short-term acute illness;

- b. Persons who are specifically funded for residential care under the Injury Prevention, Rehabilitation and Compensation Act 2001 (excluding elderly victims of crime as defined in the Social Security Act);
- c. Persons for whom funding is provided for their primary care needs under another DHB or Ministry contract or notice, including arrangements relating to psychogeriatric care, palliative care, convalescent care, intellectual disability services, physical disability services or mental health; or
- d. Persons whose need arises solely as a result of a mental health condition acquired before age 65 (i.e. there is no age related component.)

A5.3 You must notify us and our Payment Agent if:

- a. In respect of a Person to whom clause A5.1 applies, you no longer provide services to that Person; or
 - b. Clause A5.1 no longer applies to a Person,
- as soon as possible, and not more than two working days, after becoming aware of either of the circumstances in (a) or (b) above.

A5A. INFORMATION FOR POTENTIAL NEW RESIDENTS

A5A.1 On request by a Person to whom clauses A5.1(a) and A5.1(b) applies, we or our Needs Assessment and Service Co-ordination Service:

- a. will provide a list of providers who are party to an agreement with us for the provision of Age Related Residential Care Services and who provide the category of services for which the Person has been needs assessed, at a facility in the region where the Person wishes to receive the services; and
- b.
 - i. may provide the Person with information about you, for which there is a reasonable basis and which may influence the Person's choice of provider; and
 - ii. will provide you, on request in accordance with the Official Information Act 1982, with the information about you provided to the Person.

A6. PAYMENTS

A6.1 We will pay you in accordance with the terms of this Agreement.

A6.2 Our liability to pay a Residential Care Subsidy for Services you provide to a Person to whom clause A5.1 applies arises on the date determined under the Social Security Act.

A7. PAYMENT DURING TEMPORARY ABSENCE

A7.1 Where a Subsidised Resident leaves your Unit temporarily, as long as the Person's bed is held for that Subsidised Resident during his or her absence, and is not used by another Subsidised Resident, we will continue to make payments for that Subsidised Resident on the following basis:

- a. Hospitalisation: Where a Subsidised Resident is admitted to hospital for treatment or to undergo an assessment, we will continue to make payments in full for up to 21 days (or for any longer period that the Needs Assessment and Service Co-ordination Service may recommend) in any one of our financial years;
- b. Temporary Absences: Where a Subsidised Resident is away from your Unit with family or friends, we will continue to make payments in full for up to 14 days at any one time, and up to 28 days in total in any one of our financial years.

A8. PAYMENT FOR DAYS OF ADMISSION, DISCHARGE, TRANSFER OR DEATH

A8.1 Where a Subsidised Resident is admitted to, or discharged or transferred from, your Unit at any time on a particular day, we will pay you, in respect of that Subsidised Resident, for the full day on which that admission, discharge or transfer occurred.

A8.2 Upon the death of a Subsidised Resident, we will pay you for the day of that Subsidised Resident's death and for the following day:

- a. The Residential Care Subsidy that would have been paid to you if that Subsidised Resident was living; and
- b. The amount of New Zealand superannuation (as that term is defined in the New Zealand Superannuation Act 2001) that would have been payable by the Subsidised Resident to you if the Subsidised Resident was living (in full or partial fulfilment of the Subsidised Resident's obligation to pay for the Services in accordance with item c of clause C3.1), if the department responsible for paying the superannuation to the Subsidised Resident does not do so for that day.

A9. OVERPAYMENTS

A9.1 If we overpay you for the Services, as soon as you become aware of such overpayment you must immediately notify us of that overpayment.

A9.2 You must repay the overpayment to us within 10 Working Days of:

- a. You notifying us under clause A9.1; or
- b. Us notifying you of any overpayment that we become aware of,

by the day before the next payment is due to you under this Agreement after either such notification, whichever is the later.

A9.3 If you do not repay the overpayment in accordance with clause A9.2, then we may deduct the amount of any overpayment from any later payments due to be made to you under this Agreement.

A10. SET OFF

A10.1 Where you owe us any amount under this Agreement, including:

- a. In the case of overpayment under clause A9; and

b. Where you are obliged to indemnify us under clause A28,

we may set that amount off against any amount that we owe you, provided that we give you 20 Working Days notice of our intention to do so.

A11. WITHHOLDING OF PAYMENTS

A11.1 Where you:

- a. Breach clause A5.3, clause A15.2, or clause A15.6; or
- b. Have not completed a compliance requirement contained in a notice of default given under clause A16.3(b) or in a notice given under clause A16.4; or
- c. Fail to meet your obligations in terms of clause A22,

we may withhold some or all of the next payment or payments due until you have remedied the breach, or otherwise complied with the relevant obligation, or until any costs incurred by us have been met, whichever is later.

A11.2 Where you have failed to comply with any obligations under this Agreement not referred to in clause A11.1, and that failure is material, we may withhold 5% of the next payment or payments due until you comply with the relevant obligation or until any costs incurred by us have been met, whichever is later.

A11.3 Subject to clause A11.4 we will give 20 Working Days notice of our intention to withhold payments under clause A11.1 and/or A11.2, during which notice period you may remedy your non-compliance.

A11.4 We may withhold payment under clause A11.1(c) without notice.

A12. INSPECTION OF RECORDS UNDER HEALTH ACT 1956

A12.1 Where we exercise powers under section 22G of the Health Act 1956, or any enactment that replaces that section and, following inspection under that section, we are unable to verify any of your claims for payment under this Agreement, we may:

- a. Require you to report at such intervals and on such financial matters as we may specify;
- b. Withhold payments under this Agreement from you until satisfied of the veracity of any of your claims for payment; and
- c. Take such further action as we consider necessary in the circumstances.

A13. CHARGES TO SERVICES USERS

A13.1 You may not charge any Subsidised Resident or any other Person for any Services in respect of which you receive payments under this Agreement, except for the amount a Subsidised Resident is liable to pay under clause C3.1 and the Social Security Act.

A13.2 Clause A13.1 does not prevent you from providing or charging any Subsidised Resident for any services that are not covered by this Agreement, provided that:

- a. The Subsidised Resident agrees to receive such additional services and to pay the charges for those services;
- b. Full details of the additional services and charges are set out in the Admission Agreement referred to in clause D13; and
- c. You do not charge the Subsidised Resident or any other Person any more than the agreed charges specified in the Admission Agreement.

A14. NO OTHER BENEFIT FOR SERVICES

A14.1 To avoid doubt, if you are party to any other arrangement (for example, a Licence-to-Occupy or similar arrangement) that results in you effectively receiving payment, benefit, or value whether from a Subsidised Resident or any other Person, for the supply of Services, or any component of them, to a Subsidised Resident, whether or not the arrangement was entered into before you commenced receiving payment under this Agreement in respect of that Subsidised Resident, you must either alter the arrangement so that you do not receive any such payment, benefit or value, or terminate the arrangement.

A14A.AUDIT UNDER THE HEALTH AND DISABILITY SERVICES (SAFETY) ACT 2001

A14A.1 You acknowledge that we may participate and assist in the carrying out and implementation of certification decisions and audits made and conducted under the Health and Disability Services (Safety) Act 2001.

A14A.2 Clauses A16.3 and A16.4 apply, with all necessary modifications, to audit reports and certification decisions made under the Health and Disability Services (Safety) Act 2001 as if they were Final Audit Reports made under clause A16.2.

A14A.3 Without limiting clause A14A.2, a requirement or condition contained in an audit report or certification decision shall be deemed to be a compliance requirement (as defined in clause A16.2) of a Final Audit Report, for the purposes of clauses A16.3 and A16.4, except to the extent that the requirement or condition does not relate to an obligation under this Agreement.

A14A.4 Our rights under clauses A16.3 and A16.4 in relation to any audit report or certification decision are not limited or affected by any action we may take under clause A14A.1 for the purposes of assisting and implementing certification decisions and audits made under the Health and Disability Services (Safety) Act 2001.

A15. AUDIT ACCESS AND PROCESS

A15.1 Record keeping

- a. You must keep and preserve Records and protect the security of them in accordance with your statutory obligations and make them available to us in accordance with our reasonable instructions and our rights to access such Records.
- b. In the event of your ceasing to provide the Services you must:

- i. transfer Records relating to Subsidised Residents to the new provider of services to those Subsidised Residents; and
- ii. where a new provider is providing services at your Unit, transfer all relevant Records to the new provider of services at your Unit; and
- iii. preserve Records not transferred to another provider.

A15.2 Access for Audit

- a. You must co-operate with us fully and allow us, or our authorised agents, access to:
 - i. your premises;
 - ii. all premises where your Records are kept;
 - iii. Subsidised Residents and their families and nominated representatives;
 - iv. staff, sub-contractors, or other personnel used by you in providing the Services, for the purposes of and during the course of carrying out:
 - v. any Audit of your Services at your Unit; or
 - vi. any Audit of another provider who provided services to Subsidised Residents to whom you provide Services, or in respect of whom you hold relevant Records.
- b. You must ensure that any sub-contracting or agency agreements you may enter into in relation to the Services include a provision to the effect that the sub-contractor or agent must co-operate fully with us.
- c. You must ensure that the people appointed by us to carry out the Audit have the access referred to in this clause A15.2, during the hours they are entitled to audit.
- d. For the purposes of clause A15.2(a)(iii), within 3 Working Days after receiving notice of an Audit under clause A15.3(a), or immediately in the case of an Audit under clause A15.3(b), you must provide to us, in writing, the names and addresses of all Subsidised Residents' families and nominated representatives (where you have obtained such information in accordance with clause D13).
- e. We will ensure that the exercise of access under this clause will not unreasonably disrupt the provision of the Services to Subsidised Residents.

A15.3 Notice of Audits

- a. Subject to clause A15.3(b), we will give you 10 Working Days prior notice of any Audit unless we agree to greater notice.
- b. If we believe that delay will prejudice the interests of any Person, we may carry out an Audit without prior warning. If we exercise our rights under this clause, we will give you notice on our arrival at your premises of the scope of Audit to be carried out.

- c. The notice of the Audit that we will give you will include:
 - i. details of the issue or issues to be audited; and
 - ii. details of the scope of the Audit, including reference to your obligation or obligations under this Agreement that relate to the issue or issues to be audited, and the approach we will take to carry out the Audit.
- d. We may also, during the course of an Audit commenced under clause A15.3(b), expand the scope of the Audit to matters not referred to in the notice if desirable, in the opinion of the Auditor carrying out the Audit, to assess whether you comply with your obligations under this Agreement.

A15.4 Times for Audits

- a. Subject to clause A15.4(b), an Audit may be carried out between 9 am and 5 pm on any Working Day and at any other time by agreement.
- b. We may carry out an Audit under clause A15.3(b) at any time on any day where reasonably necessary having regard to the scope of that Audit.

A15.5 Appointment of Auditors

- a. We may appoint our staff or third parties who, in our opinion, are appropriately qualified to audit, on our behalf, and at our cost, in relation to any of the matters contained in the Agreement. Each Person so appointed is an Auditor.
- b. We will give you prior written notice of the names of the people to be appointed.
- c. You may object to such appointments where any or all of those people appointed (whether our staff or third parties) have a demonstrable conflict of interest, by advising us of the claimed conflict of interest and providing the evidence that supports your claim.
- d. In relation to an Audit under clause A15.3(a), if we receive advice from you under clause A15.5(c) within 5 Working Days of us sending the notification of an Audit that is to be conducted under clause A15.3(a), we will review the information provided and, if we agree that there is a conflict of interest, we will appoint a replacement Auditor.
- e. If we receive advice from you under clause A15.5(c) either:
 - i. in relation to an Audit under clause A15.3(a), after the period specified in clause A15.5(d); or
 - ii. in relation to an Audit under clause A15.3(b),

we may conduct the Audit up to and including the preparation of the Draft Audit Report while we review the information provided. If we agree that there is a conflict of interest, we will appoint a replacement Auditor to verify the Draft Audit Report before we prepare the Final Audit Report.

A15.6 Audit process

- a. In carrying out any Audit we may:
 - i. have access to Health Information about any past or current Subsidised Resident;
 - ii. observe the provision or delivery of the Services;
 - iii. interview and/or survey Subsidised Residents and/or their families (including, without limitation, either in writing or by way of an interview); and
 - iv. interview and/or survey any staff, sub-contractors or other personnel used by you in providing the Services (including, without limitation, either in writing or by way of an interview),

in accordance with the Privacy Act 1993 and any code of practice issued under that Act covering Health Information held by health providers.
- b. Each Auditor may take copies of any parts of the Records for the purposes of the Audit in accordance with the Privacy Act 1993, and any code of practice issued under that Act covering Health Information held by health providers.
- c. You must allow each Auditor to use any photocopier at your Unit, but you are not required to supply paper. If there is no photocopier at your Unit or Facility, we may remove the relevant Records from your Unit or Facility for the purposes of copying such Records, and we will return those Records on the same day or, if that is not practicable, within 24 hours or a timeframe agreed between both of us.
- d. For the purposes of clause A15.6(a)(iv), during the course of an Audit you must provide opportunities for the Auditors to interview staff, sub-contractors or other personnel used by you in providing the Services, in private, without you or your Manager being present. At the request of a staff member, sub-contractor or other Person being interviewed, a support person (excluding you or your Manager) may be present at any interview.
- e. On the completion of the Site Visit, the Auditor must discuss the preliminary findings of the Audit with you.

A16. AUDIT REPORTING AND COMPLETION

A16.1 Draft Audit Report

- a. We will submit a Draft Audit Report to you within 15 Working Days of the Site Visit.
- b. To the extent that we wish to incorporate in the Draft Audit Report any information provided in interviews conducted under clause A15.6(a)(iii) and (iv) and identify the Person or Persons who provided that information, we will do so only with the prior consent of the Persons concerned.
- c. The Draft Audit Report must:
 - i. indicate whether or not you comply with your obligations under this Agreement;

- ii. indicate the actions you will be expected to take (if any) to comply with your obligations under this Agreement (“draft requirements”);
 - iii. indicate the timeframe within which you will be expected to complete the draft requirements;
 - iv. describe the actions (if any) that we expect will be required to verify that you have met the draft requirements. This may include a follow up visit or verification audit by an Auditor.
- d. If you disagree with any of the findings, draft requirements, actions, or timeframes indicated in the Draft Audit Report, you may respond to us within a timeframe set by us (which will not be less than 10 Working Days from the Day we send you the Draft Audit Report), indicating why you do not agree with the Draft Audit Report.

A16.2 Final Audit Report

- a. We will prepare a Final Audit Report that takes into account your comments (if any) on the Draft Audit Report.
- b. The Final Audit Report must:
 - i. reflect comments you have made (if any) on the Draft Audit Report, either by amending the Report or by including those comments in the Final Audit Report;
 - ii. state whether or not you comply with your obligations under this Agreement;
 - iii. specify the actions that you must take (if any) to comply with your obligations under this Agreement (“compliance requirements”);
 - iv. specify the timeframe within which you must complete the compliance requirements;
 - v. specify the actions required to verify that you have met the compliance requirements. This may include a follow up visit or verification audit by an Auditor.
- c. We must send you the Final Audit Report within 20 Working Days after the end of the timeframe set by us in accordance with clause A16.1(d).

A16.3 Provider in default

- a. You are in default if you have not completed a compliance requirement specified in the Final Audit Report within the timeframe set in accordance with clause A16.2(b).
- b. Where you are in default, we may give you notice of default, and such notice shall state:
 - i. where the compliance requirement was to be completed within 2 Working Days, that you have a further period of not less than 2 Working Days from the date of notice of the default to comply with the relevant compliance requirement;

- ii. where the compliance requirement was to be completed within 2 to 10 Working Days, that you have a further period of not less than 10 Working Days from the date of the notice of default to comply with the relevant compliance requirement; or
 - iii. in all other cases, that you have a further 20 Working Days from the date of the notice of default to comply with the compliance requirements.
- c. If, by the end of any period stated under A16.3(b), you have not completed the compliance requirement(s) in question, we may:
 - i. vary the compliance requirement;
 - ii. extend the timeframe to complete the compliance requirement;
 - iii. withhold payment in accordance with clause A11; or.
 - iv. terminate this Agreement in accordance with clause A24.
- d. When we are satisfied that you have completed all compliance requirements, we will notify you in writing that you are compliant.

A16.4 Material or repeated failure

If in our opinion, based on reasonable grounds:

- a. Your non-compliance with your obligations under this Agreement, as stated in a Final Audit Report, is material; or
- b. On the basis of a Final Audit Report and any previous Final Audit Report relating to any previous Audit of your Unit, you have repeatedly failed to comply with your obligations under this Agreement,

we may give you a single period of not less than 20 Working Days to complete any or all compliance requirements specified under clause A16.2(b)(iii), and if by the end of that period, you have not completed the relevant compliance requirements, clause A16.3 shall not apply and, despite clause A17.4, we may terminate this Agreement under clause A24.

A16.5 Advice to Family Members

We may advise a Subsidised Resident's family or nominated representative about the progress of an Audit at any time during the course of or following the Audit where we have serious concerns (based on reasonable grounds) about the health and safety of that Subsidised Resident.

A16.6 An Audit is completed when we notify you that you are compliant.

A16.7 Release of Final Audit Report

- a. Subject to the Privacy Act 1993 and any code of practice issued under that Act, you must make the Final Audit Report available to any Person for reading on request.
- b. If a Person requests a copy of the Final Audit Report, you may require that Person to pay reasonable costs for copying.

A16.8 We retain the right to conduct an Audit after this Agreement ends, but only in respect of Services provided prior to termination, or following termination in accordance with clause A25.1(b).

A17. AUDIT REVIEW

A17.1 If you dispute any element of the Final Audit Report, you may apply to us for a review of the Audit.

A17.2 We will review the Audit only if we receive an application for review under clause A17.1 no later than 10 Working Days after the Final Audit Report is sent to you.

A17.3 Audit Review Process

- a. We will notify you that the application for review has been received.
- b. We will request information in relation to the issues raised by you from the Auditors who carried out the Audit.
- c. A suitably qualified Person we have appointed to be responsible to us for the audit review process will review all information relating to the Audit.
- d. Following our review, we will discuss our response to the issues raised with you.
- e. Both of us must use our best endeavours to resolve the issues raised by you.
- f. If we agree with any issues raised by you, we will amend the Final Audit Report accordingly.
- g. If you and we are unable to resolve any issue raised within 20 Working Days from the date that we receive your application for review, then either of us may require mediation under clause A26.1(b) and clause A26 will apply accordingly.

A17.4 You must comply with all your obligations, including any compliance requirements issued under clause A16.2(b), while the review process is carried out, but we will not terminate the Agreement under clause A16.3(c) until the review is complete.

A17.5 Where you have complied with any compliance requirements in the Final Audit Report issued under clause A16.2(b) ("the original requirements"), which are amended or removed under clause A17.3(f) ("the amended requirements"), we will reimburse you an amount equal to our assessment of the difference between the reasonable costs of complying with the original requirements and the amended requirements.

A18. FINANCIAL MANAGEMENT AND AUDIT

A18.1 You must operate sound financial management systems and procedures.

A18.2 Where we have serious concerns (based on reasonable grounds) that you are not operating sound financial management systems and procedures, without limiting any of our other rights in this Agreement, we may:

- a. Request that you provide, to an independent auditor appointed by us at our cost, within 30 days of our request:

- i. your financial statements (as that term is defined in section 8 of the Financial Reporting Act 1993), or accounting information relating to your current financial position, including access to your expenditure and revenue transactions;
 - ii. your financial statements or accounts for your most recent complete financial year (audited or otherwise, as required by us); and/or
 - iii. a solvency certificate from a Chartered Accountant; and
- b. Arrange for that independent auditor to audit:
- i. the correctness of the information you give us under clause A18.2(a);
 - ii. your calculations of the cost of providing the Services; and
 - iii. your financial position.

A18.3 The independent auditor:

- a. Must not disclose details of your costs of providing the Services; but
- b. May advise us if he or she considers that your financial position may prejudice, or otherwise affect, your ability to carry out your obligations under the Agreement.

A18.4 If the independent auditor so advises us under clause A18.3(b), we may carry out an Audit.

A19. INFORMATION REPORTING REQUIREMENTS

A19.1 You must comply with the information reporting requirements set out in Part 1, Section B of this Agreement.

A20. UNCONTROLLABLE EVENTS

A20.1 If either you or we are affected ("the Affected Person") by an Uncontrollable Event, the Affected Person will not be in default under the terms of the Agreement if the default is caused by that Uncontrollable Event. The Affected Person must:

- a. Promptly give written notice to the other specifying:
 - i. the cause and extent of the Affected Person's inability to perform any of the Affected Person's obligations; and
 - ii. the likely duration of the non-performance;
- b. In the meantime take all reasonable steps to remedy or reduce the impact of the Uncontrollable Event.

A20.2 Neither of us is obliged to settle any strike, lock-out or other industrial disturbance.

A20.3 Performance of any obligation affected by an Uncontrollable Event must be resumed as soon as is reasonably possible after the Uncontrollable Event ends or its impact is reduced.

A20.4 Without limiting clause A22, if you are unable to provide any Services as the result of an Uncontrollable Event we may make alternative arrangements suitable to us for the supply of those Services during the period that you are unable to supply them after we consult with you.

A21. REVIEW

A21.1 Each year there will be a single national review of all agreements between DHBs and providers for the provision of Aged Residential Hospital Specialised Services. The review will be carried out on behalf of all DHBs by us, or by one or more DHBs that may include us.

A21.2 Review Process

- a. The review will be carried out in a manner that enables meaningful participation by you and provider representative groups.
- b. You and provider representative groups will be notified in writing of the timeframe and process for the review and the issues we wish to address in the review.
- c. We will ensure that you and provider representative groups have the opportunity to comment on issues raised by us and also to raise any other matters that affect providers on a national basis relating to the provision of Aged Residential Hospital Specialised Services during the course of the review, including, for example, the price we pay for the services. However, the review will not address any matter that has been addressed as a potential Variation Event under clause A23 in the same financial year as the review.
- d. You may appoint another Person or a provider representative group, to provide comments and otherwise participate in the review on your behalf.

A21.3 We will consider in good faith all comments received from you and provider representative groups, and prepare a report summarising those comments and our views on the issues.

A21.4 Any variation to this Agreement made as a result of a review under this clause A21 must be in writing and signed by both of us.

A22. YOUR FAILURE TO MEET OBLIGATIONS

A22.1 Where:

- a. You have, in our opinion (such opinion based on reasonable grounds), committed a breach of your obligations under this Agreement; and
- b. Such breach, in our opinion, requires urgent action to protect the health and safety of Subsidised Residents,

we may, unless such breach is due to an Uncontrollable Event:
- c. Withhold some or all of our payments to you in accordance with clauses A11.1 and A11.2 until you have remedied the breach or until we are satisfied on reasonable grounds that you have taken appropriate steps to ensure that a breach of that nature will not happen again; and

- d. Ourselves take action to remedy the breach, and recover the reasonable costs (including reasonable legal expenses if any) from you, including by deducting such costs and expenses from payments due under this Agreement in accordance with clause A11.

A22.2 Temporary Manager

- a. Without limiting our rights under clause A22.1(d) we may appoint as Temporary Manager for your Unit a Person who is appropriately qualified and experienced in terms of clause D17.5. Such Temporary Manager will take over management of the provision of Services, in substitution for and on behalf of you and your Manager for the purpose of remedying the breach referred to in clause A22.1(a).
- b. Where a Temporary Manager is so appointed, you must:
 - i. Allow the Temporary Manager access to your Unit;
 - ii. Ensure that the Temporary Manager is able to carry out his or her duties without disturbance or disruption; and
 - iii. Comply with any direction or instruction given by the Temporary Manager.
- c. Without limiting clause A22.1(d), you will be liable for the reasonable costs of the Temporary Manager managing provision of the Services.
- d. Without limiting clause A28, you must indemnify us for all claims, damages, penalties or losses including reasonable costs (but excluding indirect or consequential losses) arising under clause A22 from actions taken by us, including actions taken by the Temporary Manager, except arising from the negligence or fraud of the Temporary Manager or us or from actions taken by the Temporary Manager for purposes other than the purpose of remedying the breach referred to in clause A22.1(b).

A22.3 Removal of Residents

Without limiting our rights under clause A22.1(d), we may enter your Unit for the purpose of facilitating the departure of any Subsidised Resident from your Unit. In this case you must:

- a. Allow us to enter your Unit;
- b. Assist us to communicate with all Subsidised Residents and their families or nominated representatives;
- c. Help us facilitate the departure of Subsidised Residents.

A22.4 For the avoidance of doubt, we may exercise our rights under this clause A22, including our right to appoint a Temporary Manager under clause A22.2, and to enter your premises and remove Residents under clause A22.3 at any time during the course of an Audit or an Audit Review carried out under this Agreement.

A22.5 You may initiate dispute resolution under clause A26 in respect of any action taken by us under this clause A22, but we are not required to delay or suspend any such action under this clause while dispute resolution is proceeding.

A23. VARIATIONS TO THIS AGREEMENT

A23.1 This Agreement may be varied at any time by agreement between both of us and also on the occurrence of any of the following Variation Events:

- a. Where either of us consider that changes occurring as a result of:
 - i. any change in law;
 - ii. significant changes in the health sector environment or costs that are beyond the control of either of us,

will have a material impact on the provision of Services including the costs of providing Services.

- b. Where an Uncontrollable Event occurs. In that case clause A20 will apply in addition to provisions of this clause.

A23.2 On the occurrence of a Variation Event, we will both identify and quantify the impact of the Variation Event and will seek expert advice, if necessary, to assist us in doing so.

A23.3 If you or another provider invoke this clause A23 in relation to a potential Variation Event, the procedure in this clause A23 will be carried out as a national process on behalf of all DHBs by us, or by one or more DHBs that may include us. As part of the national process, we will take all reasonable steps to notify all other providers of Aged Residential Hospital Specialised Services and provider representative groups of the potential Variation Event and invite them to participate in the national process.

A23.4 The procedure in this clause A23 (except for clause A23.9):

- a. May be utilised only once in a financial year in respect of a specific Variation Event; and
- b. Will not be utilised in a financial year when the subject matter of the Variation Event has been addressed in a review carried out under clause A21 in that financial year.

A23.5 Where we both agree there is a material impact resulting from the Variation Event, both of us will then seek to agree a variation to this Agreement, which may include, without limitation:

- a. Reconfiguration of any Services; or
- b. Adjustment to prices or payments in respect of any Services.

A23.6 Where both of us are unable to agree that there is a material impact, or potential material impact resulting from the Variation Event, then the matter may be referred to dispute resolution under clause A26. Where it is determined through the dispute resolution procedure that there is a material impact, or potential material impact, resulting from the Variation Event, the parties shall seek to agree a variation to the Agreement in accordance with clause A23.5.

A23.7 Each of us must negotiate in good faith to reach prompt agreement on any issues, proposed amendments or any alternative proposal.

A23.8 If neither of us can agree on any variation to the Agreement in accordance with clause A23.5 or A23.6 within 2 months of agreement under clause A23.5, or determination under clause A23.6, then either of us may terminate this Agreement by giving 12 weeks written notice.

A23.9 Despite anything in this Agreement to the contrary, we may vary this Agreement at any time on written notice:

- a. If it is necessary to vary this Agreement to comply with a change in the law; or
- b. If the Crown Funding Agreement in place between us and the Ministry is varied or amended, and the effect of any such variation or amendment (as the case may be) is that this Agreement must be varied so that we can comply with our obligations under our Crown Funding Agreement,

from the date that change, variation, or amendment (as the case may be) has effect.

A23.10 Any variation to this Agreement must be in writing and, except for a variation made under clause A23.9, signed by both of us.

A24. TERMINATION OF THIS AGREEMENT

A24.1 We may terminate this Agreement by giving you notice in writing if any of the following events occur:

- a. Any licence, registration or certification relating to you, or any unit or facility at which you provide Services or Age Related Residential Care Services, is cancelled, revoked, expires, or is subject to a closing or cessation order; or
- b. You are convicted of any dishonesty offence relating to any claim for payment from any party (not limited to us or our predecessors) whether claimed pursuant to this Agreement or otherwise; or
- c. You have failed to carry out any of your obligations under this Agreement and the failure is material; or
- d. You have failed to carry out any of your obligations under this Agreement, other than in relation to the completion of compliance requirements, and you do not remedy the failure within 20 Working Days of receiving notice of default from us; or
- e. Clause A16.3(c) applies (which relates to non-completion of compliance requirements); or
- f. Clause A16.4 applies (which relates to material or repeated failure); or
- g. You are placed in liquidation or a receiver is appointed.

A24.2 For the purposes of clause A24.1(c), a material failure includes, but is not limited to, a breach of any of the following clauses:

- a. Clause A5.3 (notification of change in relation to Persons in your Unit to whom clause A5.1 applies);

- b. Clause A15.2 (Access for Audit); or
- c. Clause A15.6 (Audit Process).

A24.3 Before giving notice under clause A24.1(c), we will consider any other options available to us under this Agreement for responding to your material breach of your obligations. To avoid doubt, we may in our sole discretion give notice under clause A24.1(c) instead of pursuing any other option available to us under this Agreement.

A24.4 Termination under clause A24.1 takes effect on the day that we give you notice under that clause, or any later date specified in that notice.

A24.5 Your obligations under clause A25.1(b)(ii) and (iii) also apply from the date we give you notice of termination under clause A24.1.

A24.6 If we give you notice of termination of this Agreement under clause A24.1, we may appoint a Temporary Manager to take over management of the provision of Services in substitution for and on behalf of you and your Manager, for the purpose of facilitating the departure of all Subsidised Residents from your Unit, whether that occurs before or after termination.

A24.7 Clauses A22.2 and A22.4 apply, with all necessary modifications, in relation to a Temporary Manager appointed under clause A24.6.

A24.8 Either of us may terminate this Agreement by giving 12 weeks notice in writing to the other, unless a shorter notice period is agreed by both of us.

A24.9 Where either of us has given notice in writing under clause A24.8, both of us will use our best endeavours to ensure that, where necessary, both of us have facilitated the departure of any Subsidised Residents prior to the expiry of a 12 week period specified in the notice or as otherwise agreed between both of us.

A24.10 If we default in any of our obligations and we fail to remedy the default within 20 Working Days of your giving us written notice of the default you may do any one or more of the following:

- a. Seek specific performance of the Agreement; or
- b. Seek damages from us; or
- c. Seek default interest (calculated at the bill rate plus 2 percent per annum. The bill rate means the average rate per annum (expressed as a percentage) as quoted on Reuters page BKBM (or any successor page displaying substantially the same information) under the heading "FRA" for bank accepted bills having a term of three months as fixed at 10.45 am on the date the default interest under this clause A24.10 is first payable).

A24.11 Nothing in clause A24.10 affects any other rights you may have against us in law or equity.

A25. CONSEQUENCES OF TERMINATION

A25.1 Immediately following termination of the Agreement:

- a. We will:

- i. continue making further payments to you under this Agreement in relation to Services provided under clause A25.1(b)(i), except where you do not comply with your obligations under clause A25.1(b)(ii) or (iii), but otherwise we will cease making payments to you under this Agreement;
 - ii. inform the Subsidised Residents and, as far as practicable, each Subsidised Resident's family, of the termination of this Agreement;
 - iii. where necessary, facilitate the departure of the Subsidised Residents from your Unit as soon as practicable; and
- b. You will:
 - i. continue to provide Services to each Subsidised Resident until each Subsidised Resident leaves your Unit;
 - ii. help us facilitate the departure of the Subsidised Residents;
 - iii. co-operate with us and our agents accordingly, including allowing us to enter your Unit, communicate with Subsidised Residents and, as far as practicable, supplying us with contact details for Subsidised Residents' families.

A25.2 Any termination of this Agreement will not affect:

- a. The rights or obligations of either of us that arose before this Agreement was terminated; or
- b. The operation of any clauses in this Agreement that are expressed or implied to have effect after this Agreement ends.

A26. DISPUTE RESOLUTION

A26.1 If either of us has any dispute with the other under this Agreement then:

- a. Both of us will use our best endeavours and act in good faith to settle the dispute by agreement; and
- b. If the dispute is not settled by agreement within 20 Working Days, then, unless both of us agree otherwise, either of us may (by written notice to the other) require that the dispute be submitted for mediation by a single mediator agreed by both of us, or if both of us cannot agree on a mediator, a mediator nominated by LEADR or if LEADR no longer exists or is unable to nominate a mediator, the President for the time being of the New Zealand Law Society. In the event of any such submission to mediation:
 - i. the mediator will not be deemed to be acting as an expert or an arbitrator;
 - ii. the mediator will determine the procedure and timetable for the mediation;
 - iii. the cost of the mediation will be shared equally between both of us (unless otherwise agreed).

- c. Subject to clause A26.2 if the dispute is not settled by mediation in accordance with clause A26.1(b), then either of us may initiate proceedings in the District Court.

A26.2 Neither of us will initiate any court proceedings during this dispute resolution process, unless proceedings are necessary for preserving the party's rights.

A26.3 Both of us will continue to comply with all our obligations in the Agreement until the dispute is resolved.

A26.4 Except where expressly provided for, this clause A26 will not apply to any dispute concerning:

- a. Any variation or review of any part of this Agreement; or
- b. Whether or not clause A5.1 applies to any Person.

A27. INSURANCE

A27.1 You must have comprehensive insurance covering your business throughout the term of this Agreement. You must notify us on request of the insurance cover in place.

A28. INDEMNITY

A28.1 You must indemnify us for all claims, damages, penalties or losses including reasonable costs (but excluding indirect or consequential losses) caused by:

- a. A failure by you to comply with any obligations under this Agreement; or
- b. Any act or omission by you or by any Person for whom you are responsible, where that act or omission occurs in the course of you performing (or failing to perform) an obligation in this Agreement.

A29. WARRANTY

A29.1 Each of us warrant that all material information given to the other is correct, to the best of our respective knowledge and belief.

A30. ASSIGNMENT AND TRANSFER

A30.1 You must not assign this Agreement without our prior written consent, such consent not to be unreasonably withheld. For the avoidance of doubt, we may not withhold consent solely on the basis that we consider there is an oversupply of beds in the Territorial Local Authority Area.

A30.2 Sale or Transfer of Unit

Where you intend to sell, transfer or otherwise dispose of your Unit to which this Agreement relates you must:

- a. Notify us in writing of such an intention at least 30 days prior to the date of the intended transfer or disposal of your Unit; and

- b. Advise any proposed purchaser or transferee of the Unit that this Agreement will only apply to that Person if we consent to the assignment of this Agreement in accordance with clause A30.

A30.3 You acknowledge that failure to comply with clause A30.2(a) constitutes good reason to withhold consent for the purposes of clause A30.1.

A30.4 We may assign or transfer this Agreement at any time without your prior consent.

A31. MISCELLANEOUS

A31.1 Entire Agreement

The Agreement sets out the entire agreement and understanding between us and supersedes all prior oral or written agreements or arrangements relating to its subject matter.

A31.2 Governing Law

The Agreement is governed by New Zealand law.

A31.3 Contracts (Privity) Act 1982

No non-party may enforce any of the provisions in the Agreement.

A31.4 Waiver

Any waiver by either you or us must be in writing and duly signed. Each waiver may only be relied on for the specific purpose for which it is given. A failure of either you or us to exercise, or a delay in exercising, any right given to it under this Agreement does not of itself mean that the right has been waived.

A31.5 Notices

- a. Any notice must be in writing and may be served personally or sent by security or registered mail, or by facsimile transmission.
- b. Notices given:
 - i. personally are served upon delivery;
 - ii. by fastpost (other than airmail) are served three days after posting;
 - iii. by airmail are served two days after posting;
 - iv. by facsimile are served at the time specified in the facsimile confirmation report of the sending facsimile machine that evidences transmission to the facsimile number of the party receiving notice.
- c. A notice may be given by an authorised officer, employee or agent of the party giving the notice.
- d. The address and facsimile number for each of us shall be as specified in this Agreement or such other address or number as is from time to time notified in writing to the other party.

A31.6 Relationship of Both of Us

Nothing in the Agreement constitutes a partnership or joint venture between both of us or makes you an employee, agent or trustee of ourselves.

A31.7 Construction

In this Agreement, unless the context otherwise requires:

- a. “we”, “us” and “our” means <<insert DHB name>>, including its permitted consultants, subcontractors, agents, employees and assignees (as the context permits).
- b. “you” and “your” means the provider named in this Agreement, including its permitted subcontractors, agents, employees and assignees (as the context permits).
- c. “both of us”, “each of us”, “either of us” and “neither of us” refers to the parties.
- d. Words referring to the singular include the plural and the reverse;
- e. Everything expressed or implied in this Agreement that involves more than one Person binds and benefits those people jointly and severally;
- f. “including” and similar words do not imply any limitation;
- g. Clause headings are for reference purposes only;
- h. A reference to a statute includes:
 - i. all regulations under that statute; and
 - ii. all amendments to that statute; and
 - iii. any statute substituting for it that incorporates any of its provisions
- i. All periods of time or notice exclude the days on which they are given and include the days on which they expire.

A31.8 Definitions

In this Agreement, unless the context otherwise requires, the following expressions shall have the following meanings:

Expression	Meaning
-------------------	----------------

Expression	Meaning
Age Related Residential Care Services	<p>Age related residential care services you provide, if any, in accordance with an agreement between you and us for such services, including 24 hour provision of hotel services and personal care, in the following categories:</p> <ul style="list-style-type: none"> • Continuing care (hospital) (being “hospital care” as defined in section 4 of the Health and Disability Services (Safety) Act 2001); • Specialist Dementia Services; and • Rest home care (in both cases being “rest home care” as defined by section 6(2) of the Health and Disability Services (Safety) Act 2001).
Aged Residential Hospital Specialised Services	<p>Aged residential hospital specialised services, including 24 hour provision of hotel services and personal care, in the category of psychogeriatric care (being “hospital care” as defined in section 4 of the Health and Disability Services (Safety) Act 2001).</p>
Agreement	<p>This agreement, including Part 1 and Part 2, and any variation made to this agreement from time to time.</p>
Approved Service Standard	<p>A standard approved by the Minister of Health from time to time under section 13 of the Health and Disability Services (Safety) Act 2001. As at the date of this Agreement the Approved Service Standards are the Health and Disability Services Standards NZS 8134:2008, comprising:</p> <ul style="list-style-type: none"> • Health and Disability Services (General) Standard, NZS 8134.0:2008; • Health and Disability Services (Core) Standards, NZS 8134.1:2008; • Health and Disability Services (Restraint Minimisation and Safe Practice) Standards, NZS 8134.2:2008; • Health and Disability Services (Infection Prevention and Control) Standards, NZS 8134.3:2008.
Audit	<p>The audit, inspection, monitoring, investigation, evaluation, or review of the provision of the Services and level of compliance with the terms of this Agreement, undertaken in accordance with clauses A15 to A17 in Part 1.</p>

Expression	Meaning
Auditor	A Person appointed by us under clause A15.5 to carry out an Audit.
Care Giver	A Person, including an enrolled nurse or nurse assistant (but not including a Registered Nurse) who is responsible for the day to day care of Residents.
Care Plan	The plan relating to the care of a Subsidised Resident developed in accordance with clause D16.
Care Staff	A Registered Nurse or Care Giver, including a Registered Nurse or Care Giver who is engaged by you on a casual basis, or who is from an agency, bureau, or other similar organisation.
Commencement Date	The date specified in clause C1.1.
Continuing Care (Hospital)	Continuing care (hospital) services provided by you, if any, in accordance with a contract between you and us relating to such services.
Co-located	In relation to a Unit and a Facility, means a Unit and a Facility which: <ul style="list-style-type: none"> • form part of a single building; or • in our opinion are immediately adjacent to, or in the immediate vicinity of, each other and are each situated on land that is at least partly contained and described in the same certificate of title or titles.
Day	Any day of the week.
DHB	A District Health Board established under section 19 of the NZPHD Act.
Facility	A place where you provide Age Related Residential Care Services (if any).
General Practitioner	Person registered as a general practitioner with the Medical Council of New Zealand.

Expression**Meaning**

Health Information

The following information or classes of information about an identifiable individual:

- information about the health of that individual, including his or her medical history;
- information about any disabilities that individual has, or has had;
- information about any health services or disability services that are being provided, or have been provided, to that individual;
- information provided by that individual in connection with the donation, by that individual, of any body part or any bodily substance of that individual or derived from the testing or examination of any body part, or any bodily substance of that individual; or
- information about that individual that is collected before or in the course of, and incidental to, the provision of any health service or disability service to that individual.

Health Practitioner

A Person (including a General Practitioner and a Medical Practitioner) who is registered under the Health Practitioners Competence Assurance Act 2003 with the relevant Responsible Authority.

LEADR

An Australasian, not-for-profit membership organisation formed in 1989 to serve the community by promoting and facilitating the use of consensual dispute resolution processes generally known as Alternative Dispute Resolution or ADR.

Manager

An individual who is appointed as manager of your Facility and who is responsible for the day to day activities of the Facility.

Expression	Meaning
Maximum Contribution	In relation to a Resident assessed by the Needs Assessment Service Co-ordination Service as requiring care, means the weekly amount, inclusive of goods and services tax, that is set by notice in the Gazette under section 152 of the Social Security Act 1964 as the maximum contribution applying to the region in which your Facility is located, and is the maximum that any Resident assessed by the Needs Assessment Service Co-ordination Service as requiring care may be required to pay for Services provided by you in accordance with this Agreement.
Medical Practitioner	General Practitioner or Person registered as having a particular vocational registration with the Medical Council of New Zealand.
Ministry	The Ministry of Health.
Needs Assessment and Service Co-ordination Service	An agency authorised by us to provide the following services in respect of an individual's care under this Agreement: <ul style="list-style-type: none"> • Needs assessment; and • Service co-ordination.
Non-Subsidised Resident	A Person who is a Resident but for whom we are not making payments to you under this Agreement.
Nurse Practitioner	A person registered under the Health Practitioners' Competence Assurance Act 2003 with the relevant Responsible Authority as a nurse practitioner with prescribing rights and who has a specialist area of practice relevant to the needs of Subsidised Residents.
NZPHD Act	The New Zealand Public Health and Disability Act 2000.
On-call	A staff member or other Person designated by you who is available to attend to the needs of any Subsidised Resident within 20 minutes after being notified.
On Duty	A staff member who is working in your Unit and is able to attend immediately to the needs of any Subsidised Resident.

Expression	Meaning
Payment Agent	HealthPAC, a business unit of the Ministry, or any other person we advise you is acting as our payment agent under this Agreement.
Person	Includes a corporation, incorporated society or other body corporate, firm, government authority, partnership, trust, joint venture, association, state or agency of a state, department or ministry of Government and a body or other organisation, in each case whether or not having a separate legal identity.
Records	All written and electronically stored material and all records and information held by you or on your behalf or by your employees, subcontractors, or agents, which are relevant to the provision of the Services, and, for the avoidance of doubt, includes Records transferred to you by other providers relating to services provided: <ul style="list-style-type: none"> • at your Unit by a previous provider; and • to Subsidised Residents at another facility.
Registered Nurse	A nurse who is registered under the Health Practitioners Competence Assurance Act 2003 with the relevant Responsible Authority and who is authorised to practice in general nursing.
Resident	Any Person residing in your Unit to whom you are providing services.
Residential Care Subsidy	Item a of clause C3.1, being the daily amount payable by us to you in respect of a Subsidised Resident for the Services provided by you to that Subsidised Resident under this Agreement.
Responsible Authority	Authority appointed by or under the Health Practitioners Competence Assurance Act 2003.
Rest Home Care	Rest home services you provide, if any, in accordance with a contract between you and us relating to such services.
Services	Aged Residential Hospital Specialised Services provided in accordance with Section D.
Site Visit	Attendance by an Auditor at your Unit.
Social Security Act	Social Security Act 1964.

Expression	Meaning
Specialist Dementia Services	Specialist dementia services you provide, if any, in accordance with an agreement between you and us relating to such services.
Subsidised Resident	A Resident to whom clause A5.1 applies and in respect of whom we are paying a Residential Care Subsidy.
Temporary Manager	A manager of your Facility appointed by us in accordance with clause A22.2 or clause A24.6.
Uncontrollable Event	<p>An event that is beyond the reasonable control of either of us but does not include:</p> <ul style="list-style-type: none"> a. Any risk or event that the party claiming to have been affected by such a risk or event could have prevented or overcome by taking reasonable care including having in a place a reasonable risk management process; or b. A lack of funds for any reason, other than where we have failed to make a due payment.
Unit	Place specified in clause C1.1 where you provide (in accordance with section D) Aged Residential Hospital Specialised Services to Eligible Persons and where you are certified to provide hospital care under the Health and Disability Services (Safety) Act 2001.
Working Day	<p>Any day of the week other than:</p> <ul style="list-style-type: none"> a. Saturday, Sunday, Good Friday, Easter Monday, Anzac Day, Labour Day, the Sovereign's birthday, the recognised anniversary of the province where your Unit is located, and Waitangi Day; and b. A public holiday day in the period beginning on 25 December in any year and ending with 4 January in the following year.

SECTION B: INFORMATION AND REPORTING REQUIREMENTS

B1. REPORTING REQUIREMENTS

B1.1 You must comply with the reporting requirements set out in clause D22 of this Agreement.

B2. REPORTING INTERVALS AND TIMELINESS

B2.1 Where a reporting requirement detailed in clause D22 is specified as quarterly, you must report to us in accordance with the following timetable:

Quarter	Due date for Report
1 January to 31 March	20 April
1 April to 30 June	20 July
1 July to 30 September	20 October
1 October to 31 December	20 February

B2.2 Where a reporting requirement detailed in clause D22 is specified as annual, you must report by 20 February in respect of the immediately preceding calendar year.

B2.3 Where this Agreement:

- a. commences; or
- b. terminates; or
- c. is varied,

part way through a quarter, the report will be for that part of the quarter that falls within the duration of this Agreement.

B2.4 You must notify us if you anticipate that the information will be delayed. We may deem such delay to be a material failure for the purposes of clause A11.2.

B2.5 Without limiting clause A11.2, failure to submit reports in accordance with this Section B and clause D22 is deemed to be a material failure for the purposes of clause A11.2.

B3. ACCURACY, CONSISTENCY AND COMPLETENESS

B3.1 The information that you provide should, in all cases, be an accurate, consistent and complete representation of the facts. The information will identify any material inaccuracies or inconsistencies you know about.

B4. READABILITY AND AVAILABILITY

B4.1 You must provide all information to us in readable format. With each information requirement for a specific piece of information, we will supply you with a template, which describes the method, medium, format, nature, frequency or level of detail required with which you must comply.

- B4.2 If no specific template is supplied by us, you will supply the information on paper as typed text or in an electronic format using file formats supported by the Microsoft Office (Word, Excel, Access) suite of desktop tools.
- B4.3 Both of us may mutually agree to alternative formats other than those specified in clause B4.2 during the term of this Agreement. Such an agreement will be confirmed in writing at least one month before the alternative arrangement is implemented.

B5. FORWARDING YOUR COMPLETED REPORT

You will forward all reports as required by us under this Agreement to:

Monitoring Reports
Ministry of Health
Private Bag 1942
Dunedin

B6. AUDITABILITY AND ACCESSABILITY

- B6.1 The information supplied under this Agreement may be verified by an Audit as specified in Part 1, Section A of this Agreement.
- B6.2 You acknowledge that the information that you provide to us must be auditable. All information you provide to us or other agencies under this Agreement, must therefore be produced through a documented process. This documentation shall include:
- a. The definition of data needed to provide information;
 - b. The source of data needed to provide information;
 - c. Each Person responsible for the capture of this data;
 - d. A description of manual and automated procedures and processes used to transform this data into the information you provide;
 - e. The procedures that describe how you accurately record client ethnicity;
 - f. The procedures that describe how you ensure the security of information according to the Privacy Act 1993 and any code of practice issued under that Act covering Health Information held by health providers.
- B6.3 You must make available to us the documentation referred to in this clause B6, if so requested by us.
- B6.4 You must take all care to ensure that in the event of ceasing to provide the Services, your Records are properly preserved and accessible by us.
- B6.5 You must pay the costs associated with the provision of information under this Agreement.

B7. AUTHENTICITY

B7.1 You must provide sufficient identification with the information sent to us, to satisfy us, or any other agency receiving information from you under this Agreement that the information received was sent by you. Unless stated differently in this Agreement, this identification should as a minimum include:

- a. The service specification the information relates to (if applicable);
- b. The date or period the information relates to;
- c. The date the information was provided;
- d. The size (number of records or number of pages) of the complete report including headers and title pages.

B8. AD-HOC INFORMATION REQUIREMENTS

B8.1 We may request from you additional information in relation to you in general or the Services specified in this Agreement. In the request, we will detail the reasons for the request and the intended usage of the required information.

B8.2 You must endeavour to provide us with every reasonable assistance in obtaining the required information.

B9. FEEDBACK

B9.1 We will send feedback reports to you annually.

PART 2: SPECIFICATIONS

SECTION C: PROVIDER SPECIFIC PAYMENTS

C1. SERVICE DETAILS

C1.1 This Agreement applies in respect of the following details:

Provider Legal Entity Name	«PROVIDER_NAME»
Provider Legal Entity Number	«PROVIDER_NUMBER»
Unit Name and Physical Address	[insert Unit name and address]
Service Category Name	Aged Residential Hospital Specialised Services
Service Category ID	[insert service category ID]
Commencement Date	[insert date]

C2. PRICE

C2.1 The maximum price that applies to the Services is as follows:

Service Category ID	Service Category Name	Bed day Price excl. GST	GST Rate (%)	Payment Type
[insert]	Aged Residential Hospital Specialised Services	[insert]		CCPS

C3. CALCULATION OF RESIDENTIAL CARE SUBSIDY

C3.1 The Residential Care Subsidy payable by us in respect of each Subsidised Resident is calculated using the following formula:

$$a = (b - c) \times d$$

Where:

- Is the Residential Care Subsidy.
- Is the maximum daily price payable under clause C2 of this Agreement per Subsidised Resident per Day for the Services.
- Is the amount a Subsidised Resident must pay per Day for the Services, as assessed in accordance with the Social Security Act.
- Is the number of Days that the Services are provided by you to the Subsidised Resident during the period for which payment is made.

C4. PAYMENT PROCESS

C4.1 For the purposes of this clause C4, unless the context indicates otherwise, the following expressions shall have the following meanings:

Expression	Meaning
BCTI	Buyer Created Tax Invoice
Payment Fortnight	The fortnight following a Service Fortnight
PPS	Proposed Payment Schedule
Service Fortnight	The fortnight during which you have performed Services and in respect of which you are entitled to payment under this Agreement

C4.2 We will pay you as follows:

- a. We will provide you, by post or facsimile, with a PPS not later than 2 Working Days prior to the end of the Service Fortnight.
- b. If you do not receive the PPS by the last Working Day of the Service Fortnight, you must notify our Payment Agent immediately. We will send you a copy of the relevant PPS within one Working Day of receiving such notice from you.
- c. The PPS will specify the Subsidised Residents in respect of whom we anticipate we must make payment to you, and the applicable Residential Care Subsidy per Subsidised Resident based on the previous Service Fortnight's payment to you.
- d. You shall complete the PPS and the documentation that accompanies the PPS in accordance with the instructions accompanying the PPS.
- e. You must verify by signature that the contents of the PPS and the documentation that accompanies the PPS are correct and return the PPS and the documentation to us within 5 Working Days of receipt of the PPS.
- f. We will send a BCTI to you following receipt of the verified PPS and documentation that accompanies the PPS.
- g. We will pay you in accordance with the BCTI not later than 10 Working Days after we receive the verified PPS.
- h. If we do not receive the PPS and documentation that accompanies the PPS in accordance with clause C4.2(e), we will not pay for the Service Fortnight until the fortnight following the Payment Fortnight, provided that we receive the PPS and documentation that accompanies the PPS that complies with clause C4.2(e) for the relevant Service Fortnight not later than 15 Working Days after the PPS was sent.

C4.3 Despite clause C4.2, if we notify you in writing that you are not required to provide a PPS for a particular Service Fortnight, we will pay you the amount specified in the previous BCTI that we sent to you as payment for the relevant Service Fortnight.

SECTION D: SERVICE SPECIFICATIONS – GENERAL

D1. COMPLIANCE WITH LEGISLATION AND STANDARDS

D1.1 You must comply with all relevant legislation, including, but not limited to:

- a. Food Hygiene Regulations 1974;
- b. Health Act 1956;
- c. Health and Disability Commissioner Act 1994;
- d. Health and Disability Services (Safety) Act 2001;
- e. Health and Safety in Employment Act 1992;
- f. Health Practitioners Competence Assurance Act 2003;
- g. Medicines Act 1981;
- h. New Zealand Public Health and Disability Act 2000;
- i. Privacy Act 1993;
- j. Social Security Act 1964.

D1.2 You must comply with any legislation that supersedes, substitutes or amends the legislation listed in clause D1.1 above.

D1.3 You must comply with all Approved Service Standards.

D2. INTERPRETATION

D2.1 Where in Section D the term “access” is used, in relation to your obligations, it means to arrange or facilitate a Subsidised Resident obtaining or receiving goods or services, from another Person, which are not part of the Services (including, without limitation, the goods and services referred to in clauses D14 and D20). You may not charge a Subsidised Resident for such arranging or facilitating, but you are not required by this Agreement to meet the costs of that other Person.

D2.2 Where in Section D the term “provision” or “provide” is used in relation to your obligations, it means that you must meet the costs of the goods or services provided and may not charge the Subsidised Resident for such goods or services.

D2.3 Where any reference is made in Section D to prescription of, or prescribing by, a General Practitioner, a Registered Nurse (such as a Nurse Practitioner) may exercise such prescribing powers if legally authorised to do so.

D3. SERVICE PHILOSOPHY

D3.1 You shall ensure that the Subsidised Residents have access to a typical range of life experiences and choices. In providing the Services you must:

- a. Be Resident centred;

- b. Promote the Subsidised Resident's independence and quality of life;
- c. Be comprehensive and multidisciplinary;
- d. Centrally involve Subsidised Residents in decisions that affect their lives;
- e. Actively promote involvement in/of the wider community;
- f. Respect the rights of each Subsidised Resident;
- g. Ensure a culturally appropriate service;
- h. Acknowledge, value and encourage the involvement of families/whanau in the provision of care;
- i. Ensure the needs of each Subsidised Resident are met in a caring, comfortable, safe environment that maximises individuality, privacy and health potential; and
- j. Ensure that people who are dying are supported in an environment that provides comfort, privacy and dignity for both the Subsidised Resident and their family/whanau.

D4. SERVICE OBJECTIVES AND ENTRY

D4.1 The Services will:

- a. Provide for the safe and therapeutic care of Subsidised Residents affected by the aging process and a mental health condition in an environment which enhances those Subsidised Residents' quality of life and minimises the risks associated with their confused states/challenging behaviour;
- b. Be relevant to the health, support and care needs of each Subsidised Resident, recognising their cultural and/or spiritual values, individual preferences and chosen lifestyles;
- c. Provide a homelike and safe environment for each Subsidised Resident;
- d. Assist the meeting of each Subsidised Resident's social, spiritual, cultural and recreational needs;
- e. Provide the opportunity for each Subsidised Resident wherever possible, or the Subsidised Resident's representative, to be involved in decisions affecting the Subsidised Resident's life; and
- f. Acknowledge the significance of each Subsidised Resident's family/whanau and chosen support networks.

D4.2 You may only admit to your Unit a Subsidised Resident who has been assessed by the Needs Assessment and Service Co-ordination Service as requiring Aged Residential Hospital Specialised Services.

D5. PROVIDER POLICIES

D5.1 You must develop and document a philosophy to guide the provision of the Services.

- D5.2 Your philosophy must be available to Subsidised Residents and their families, Persons who are prospective Residents and their families, any service that refers prospective Residents to you, and Persons engaged to deliver the Services.
- D5.3 Your philosophy must be in a form that meets the communication needs and capabilities of each of the Persons or groups of Persons listed in clause D5.2.
- D5.4 You must develop and document policies, procedures, protocols and guidelines for all elements of the Services that you must provide under this Agreement. Such policies shall include, but are not restricted to, policies relating to:
- a. Assessing, managing and monitoring each Subsidised Resident's behavioural problems;
 - b. Clinical procedures relevant to the needs identified in the individual Subsidised Resident's Care Plan;
 - c. Complaints procedure;
 - d. Continence management;
 - e. Health education and disease prevention;
 - f. Management of challenging behaviour;
 - g. Medication management;
 - h. Pain management;
 - i. Personal grooming;
 - j. Personal hygiene;
 - k. Preservation of privacy and dignity;
 - l. Providing culturally safe care;
 - m. Recognition of people's rights;
 - n. Restraint including strategies to minimise the use of restraint;
 - o. Resuscitative status;
 - p. Sexuality and intimacy;
 - q. Spirituality and counselling including availability of chaplaincy;
 - r. Skin management;
 - s. Transportation of Subsidised Residents;
 - t. Wound care.

D6. CODE OF RESIDENTS' RIGHTS

D6.1 You must have a Code of Residents' Rights. Such a code must be consistent with the Code of Health and Disability Services Consumers' Rights.

D6.2 The Code of Residents' Rights must include the Subsidised Residents' rights and responsibilities, information about your complaints system, including how to make a complaint, the role of independent advocacy services and the Health and Disability Commissioner.

D7. CLINICAL RECORD SYSTEM

D7.1 You must ensure that every Care Giver or Registered Nurse maintains a written record of progress for each Subsidised Resident under the care of that Care Giver or Registered Nurse. You must ensure that all Care Giver or Registered Nurse entries are legible, dated, and signed by the relevant Care Giver or Registered Nurse, indicating their designation.

D8. ATTENDANCE BY GENERAL PRACTITIONER OR OTHER HEALTH PRACTITIONER

D8.1 If a General Practitioner or other Health Practitioner has cause to visit a Subsidised Resident, you shall ensure that the General Practitioner or other Health Practitioner enters findings, and any treatment given to or ordered for the Subsidised Resident, into the relevant clinical records maintained on site at the time of the attendance. You must ensure that all such entries are legible, dated, and signed by the General Practitioner or other Health Practitioner.

D9. HANDOVER REPORT

D9.1 You must ensure that at the commencement of a shift, each Care Staff member who will be responsible for providing care to a particular Subsidised Resident receives a report on the status of, and care required for, that Subsidised Resident.

D9.2 The report referred to in clause D9.1 must:

- a. Be based on the Subsidised Resident's Care Plan developed in accordance with clause D16.3; and
- b. Be available to all Care Staff.

D10. DEATH/TANGIHANGA

D10.1 You must develop and implement policies and procedures to follow in the event of the death of a Subsidised Resident, which include but are not limited to policies regarding:

- a. Immediate action;
- b. Appropriate and culturally sensitive procedures for notification of next of kin or nominated representative;
- c. Any necessary certification and documentation;
- d. Culturally appropriate arrangements in relation to the care of the deceased, until responsibility is accepted by the family or a duly authorised Person.

D11. SERVICE INFORMATION

D11.1 You must make available to Subsidised Residents and their families, Persons who are prospective Residents and their families, and any service who refers prospective Residents to providers, information regarding the Services that you must provide under the terms of this Agreement.

D11.2 The information shall include, but is not limited to, the following:

- a. The services that you offer;
- b. The location of those services;
- c. The hours the services are available;
- d. How a Resident may have access to those services (for example, whether a referral is necessary);
- e. Residents' rights and responsibilities, including a copy of the Code of Health and Disability Services Consumers' Rights;
- f. Availability of cultural support;
- g. After-hours or emergency contacts if necessary or appropriate; and
- h. Any other information that is important for Persons who wish to receive your services.

D11.3 The information must be presented in a manner appropriate to the communication needs and capabilities of the Persons or groups referred to in clause D11.1.

D12. ADVICE TO RESIDENTS

D12.1 You must advise each Non-Subsidised Resident in writing that:

- a. If the Non-Subsidised Resident wishes to become a Subsidised Resident, clause A5.1 must apply to the Non-Subsidised Resident, which includes a needs assessment, and may include a means assessment, in accordance with the Social Security Act;
- b. A needs assessment or means assessment may take some time to arrange, and the conclusion of such assessments may be that clause A5.1 does not apply to the Non-Subsidised Resident; and
- c. The DHB is not liable to pay a Residential Care Subsidy in respect of that Non Subsidised Resident unless clause A5.1 applies to the Resident.

D12.2 You must obtain written acknowledgement from each Non-Subsidised Resident that he or she has been advised in writing of the matters referred to in this clause D12.

D12.3 As soon as practicable after you become aware that clause A5.1 may apply to any Non-Subsidised Resident, you must advise:

- a. The Non-Subsidised Resident; and
- b. The Needs Assessment and Service Co-ordination Service,

that clause A5.1 may apply to the Non-Subsidised Resident.

D12.4 You must ensure every Resident:

- a. is advised of the right, under the Social Security Act 1964, to apply for a review of their means assessment carried out under the Social Security Act 1964; and
- b. is notified whenever we notify you that:
 - i. a change has been made to the tests used in means assessments carried out under the Social Security Act 1964 (such as an increase to applicable asset thresholds, or a change to the personal allowance) that might mean that if a Resident's means assessment was reviewed, the result would be different from the result of the Resident's latest means assessment; or
 - ii. there has been an increase to the Maximum Contribution.

D12.5 When you make a notification under clause D12.4 you must also remind Subsidised Residents of their right to apply for a review of their means assessment.

D13. ADMISSION AGREEMENT

D13.1 You must ensure that each Subsidised Resident, or their nominated representative, signs an Admission Agreement on the Day that the Subsidised Resident commences receiving Services at your Unit. You must not charge the Subsidised Resident or any other Person for preparing or providing an Admission Agreement.

D13.2 A copy of any or all Admission Agreements between you and your Subsidised Residents must be made available to us on request without charge.

D13.3 The Admission Agreement must contain:

- a. Advice that explains that the Subsidised Resident has the right under the Social Security Act to apply for a review of the Subsidised Resident's means assessment;
- b. A list of items that are excluded from the Services as set out in clause D14;
- c. A full list of all services which are not part of the Services under this Agreement, which the Subsidised Resident has agreed to receive from you, and your charges for such services;
- d. The extent of your liability for damage or loss of the Subsidised Resident's personal belongings, including clothing;
- e. Provisions relating to the following topics:
 - i. staffing of the Unit;
 - ii. safety and personal security of Residents;
 - iii. fire protection and emergency management;

- iv. communication with Residents for whom English is a second language or whose ability to communicate is limited;
- f. A provision that informs the Subsidised Resident that the contact details of the Subsidised Resident's family members or nominated representatives provided to you by the Subsidised Resident under clause D13.4, may be provided to us for the purposes of this Agreement, including, without limitation:
 - i. during the course of or following an Audit; or
 - ii. if this Agreement is varied or terminated under clauses A16, A23, or A24; or
 - iii. when we take action under clause A22,

and otherwise as permitted by the Privacy Act 1993 and any code of practice issued under that Act covering Health Information held by providers;
- g. The procedure that a Subsidised Resident must follow if he or she wishes to make a complaint about you or any of the services received by the Subsidised Resident;
- h. A description of transportation policies, procedures and costs in clause D20.2;
- i. Information relating to the Subsidised Resident's rights in respect of the room where that Subsidised Resident will live, including when that Subsidised Resident is temporarily absent from the Unit;
- j. Information about when you may require the Subsidised Resident to leave your Unit.

D13.4 You must use your best endeavours to obtain from the Subsidised Resident the names of members of that Subsidised Resident's family, or a nominated representative, whom we can contact for the purposes referred to in clause D13.3(f).

D14. EXCLUSIONS FROM SERVICE

D14.1 The Services do not include:

- a. Specialised assessment and rehabilitation services – including specialist assessment for, and advice on, rehabilitation and specialised assessment (by accredited assessors) for individual customised equipment via ACC or a Ministry funded Environmental Support Services provider.
- b. Customised equipment, accessed through services funded by the relevant DHB or through specialised accredited assessors, such as wheelchairs modified for an individual's use, seating systems for postural support, specialised communication equipment and other customised and personal care and mobility equipment.
- c. The provision of equipment, aids, medical supplies or services that relate to conditions covered by separate funding from us, another DHB, or the Ministry except where these have been specified in Section D as forming part of the Services.

- d. Services such as those provided by dentists, opticians, audiologists, chaplains, hairdressers, dry cleaners and solicitors.
- e. Clothing and personal toiletries, other than ordinary household supplies. However you are responsible for ensuring that these items are purchased by the Subsidised Resident, their family or agent as required and are consistent with the preferences of individual service users.
- f. Charges for personal toll calls made by the Subsidised Residents.
- g. Insurance of the Subsidised Resident's personal belongings.

D14.2 You must ensure each Subsidised Resident has access to the items set out in clause D14.1.

D14.3 You may supply the items listed in clause D14.1, if the Subsidised Resident chooses to obtain the items from you and, where you have supplied such items, may charge for items so supplied.

D14.4 The Subsidised Resident is responsible for the safety, security, and insurance cover of his or her personal belongings, but you must exercise due care and comply with relevant laws.

D15. ACCOMMODATION, FACILITIES AND EQUIPMENT

D15.1 Your Unit and the facilities and equipment used in the provision of the Services shall meet the special accommodation needs of Subsidised Residents requiring the Services and be homelike, comfortable and safe.

D15.2 Accommodation

You must provide:

- a. Lodging with the use of all furniture, fittings, fixtures, bedding and utensils, except to the extent that Subsidised Residents choose, with your agreement, to use their own furniture and possessions where they can be reasonably accommodated;
- b. A food service of adequate and nutritious meals, and refreshments and snacks at morning/afternoon tea and supper times, that reflects the nutritional requirements of older people, and as much as possible takes into account personal likes/dislikes of the Subsidised Resident, addresses medical, cultural, and religious restrictions, and is served at times that reflect community norms;
- c. Cleaning services and supplies that maintain the Unit in a clean, hygienic and tidy state;
- d. Laundry services: You will take all reasonable care to minimise damage to or loss of personal clothing caused by laundering. Your financial liability is restricted to that agreed with the Subsidised Resident in the Admission Agreement between the Subsidised Resident and you;
- e. Quiet, low-stimulus areas that provide privacy when required, including the Resident's bedroom provided this is a single-occupancy bedroom;
- f. Additional nutritious snacks over a 24-hour period;

- g. A clean, warm, safe, well-maintained, homelike and comfortable environment that respects the Subsidised Residents' privacy, individuality and promotes their well being.

D15.3 Facilities and Equipment

- a. You must provide space inside the Unit to allow maximum freedom of movement while promoting the safety of Subsidised Residents who are likely to wander and/or exhibit aggressive behaviour(s);
- b. You must provide a safe and secure outdoor area that is easy to get to for the Subsidised Residents and, where your Unit is situated in your Facility, such an area is physically separate from any outdoor area used by Residents receiving Age Related Residential Care Services from you (if any);
- c. You must ensure that the living, bathing, toilet, and dining areas for Subsidised Residents are separate from such areas used by Residents who receive Age Related Residential Care Services from you (if any);
- d. You must provide space and seating arrangements that allow both individual and group activities to occur;
- e. You must provide communal aids and equipment for personal care or the general mobility needs of Subsidised Residents who require them, including (but not limited to) urinals, bedpans, wash bowls, walking frames, wheelchairs, commodes, shower/toilet chairs, raised toilet seats, hospital beds, pressure relief (including mattress, heel protectors and seat cushions), lifting aids, and hand rails;
- f. You must at all times have available sufficient clinical equipment for general use to meet the needs of the Subsidised Resident including, but not limited to:
 - i. scissors and forceps for basic wound care;
 - ii. thermometers;
 - iii. sphygmomanometer;
 - iv. stethoscope;
 - v. weighing scales; and
 - vi. blood glucose testing equipment;
- g. You must at all times have sufficient and safe storage facilities for equipment, aids and supplies including the required storage facilities for all types of medications as required by relevant legislation;
- h. You must ensure that radio, television, newspapers, personal mail inwards and outwards and telephones for calls in private are reasonably available to Subsidised Residents; and

- i. You must have procedures in place that ensure the security and safety of the Subsidised Residents and enable Subsidised Residents to enter and leave the Unit as appropriate to their care need level.

D16. INDIVIDUAL SUPPORT & CARE SERVICES

D16.1 Welcoming & Orientating New Residents

- a. You must welcome new Subsidised Residents and assist new Subsidised Residents to adapt to their new residence.
- b. You must ensure that:
 - i. Subsidised Residents are orientated to the physical layout of the Unit in a manner that minimises their anxiety.
 - ii. Subsidised Residents and/or their nominated representative are informed and agree prior to, or on, entry to the Unit of the scope of Services and any liability for payment for items not included in the Services. Such liability must be set out in the Admission Agreement referred to in clause D13 of this Agreement.
 - iii. New Subsidised Residents and/or their nominated representatives receive a copy of the Unit's Code of Residents' Rights.
 - iv. Subsidised Residents and family/whanau are provided with written information on the service philosophy and practices particular to the Unit, including but not limited to:
 - 1. the need for a safe environment for self and others;
 - 2. how challenging behaviours are managed;
 - 3. specifically designed and flexible programmes, with an emphasis on minimising restraint, behaviour management; and
 - 4. the Unit's complaints policy.

D16.2 Assessment on Admission and Initial Care Plan

- a. You must assess each Subsidised Resident's health and personal care needs on admission in order to establish and document an initial Care Plan to cover a period of up to 3 weeks,
- b. A Registered Nurse must be responsible for developing and evaluating the initial Care Plan in order to ensure continuity of relevant established support, care and treatments.
- c. The assessment on admission must:
 - i. include the physical, sensory, cognitive and psycho-social abilities and issues; and, spiritual and cultural needs of that Subsidised Resident;
 - ii. identify behaviour particular to the Subsidised Resident and environmental factors that impact on this;

- iii. take account of the specialist assessment of the Subsidised Resident undertaken prior to admission
- iv. determine that Subsidised Residents' individual diversional, motivational and recreational requirements;
- v. utilise information gained from the Subsidised Resident, their nominated representative (where applicable), and information provided by the Needs Assessment and Service Co-ordination Service and/or previous provider of health and personal care services along with observations and examinations carried out at the Unit.

D16.3 Care Planning

You must ensure that:

- a. Each Subsidised Resident has a Care Plan and that all staff follow the Care Plan;
- b. At the time of admission an initial Care Plan is documented in accordance with clause D16.2;
- c. Each Care Plan is developed, documented, and evaluated by a Registered Nurse within 3 weeks of the Subsidised Resident's admission to your Unit. The Registered Nurse is responsible for ensuring the Plan reflects the Subsidised Resident's assessed physical, sensory, cognitive, psycho-social, spiritual, and cultural abilities, issues and needs;
- d. The Registered Nurse who develops the Subsidised Resident's Care Plan considers the experiences and choices of each Subsidised Resident in accordance with clauses D3 and D4;
- e. Each Subsidised Resident and his or her family/Whanau have the opportunity to have input into the Subsidised Resident's care planning process;
- f. Each Subsidised Resident's Care Plan:
 - i. describes the Subsidised Resident's current abilities, level of independence, identified needs/ issues, taking into account the Subsidised Resident's habits, routines, idiosyncrasies, and specific behavioural management strategies;
 - ii. includes strategies for minimising episodes of challenging behaviours based on assessment and prevention;
 - iii. describes how the behaviour of the Subsidised Resident is best managed over a 24 hour period;
 - iv. describes the activities that meet that Subsidised Resident's needs in relation to individual diversional, motivational and recreational therapy during the 24-hour period. These activities must reflect the Subsidised Resident's former routines and activities that are still familiar to the Subsidised Resident;
 - v. addresses personal care needs, health care needs, rehabilitation/habilitation needs, maintenance of function needs and care of the dying; and

- vi. focuses on each Subsidised Resident and states actual or potential problems/ issues, sets goals for addressing these and details required interventions;
- g. Short-term needs together with planned interventions are documented either by amending the Care Plan or as a separate short-term Care Plan attached to the Care Plan.

D16.4 Evaluation

- a. You must ensure that each Subsidised Resident's Care Plan is evaluated, reviewed and amended either when clinically indicated by a change in the Subsidised Resident's condition or at least every six months, whichever is the earlier.
- b. You must notify the Subsidised Resident's family members, with the Subsidised Resident's consent, as soon as possible, if the Subsidised Resident's condition changes significantly.
- c. You must refer a Subsidised Resident to the Needs Assessment and Service Co-ordination Service for re-assessment if:
 - i. there is a significant change in that Subsidised Resident's level of need and those needs can no longer be met by you; or
 - ii. we request that the Subsidised Resident be reassessed.
- d. You must use your best endeavours to facilitate a re-assessment carried out by the Needs Assessment and Service Co-ordination Service in accordance with clause D16.4(c).

D16.5 Support & Care Intervention

- a. Support and care provided by you must be focused on the Subsidised Resident and delivered in a timely and competent manner. Your routines and practices within the Unit must reflect as much as possible community norms, encourage each Subsidised Resident's autonomy, respect their dignity and privacy, meet their cultural requirements, and be documented in the Care Plan;
- b. You must identify the risks associated with confusion and/or an aggressive state and minimise each such risk;
- c. You must ensure that support and care is flexible and individualised, focusing on the promotion of quality of life, and must minimise the need for restrictive practices through the management of challenging behaviour;
- d. Your staff must be available at all times to meet the needs of the Subsidised Residents, as identified in the Subsidised Residents' Care Plans and when necessary;
- e. You must ensure that Care Staff build a supportive relationship with each Subsidised Resident. The goals of the supportive relationship are to relieve anxiety and maintain the Subsidised Resident's sense of trust, security and self-esteem;
- f. You must use your best efforts to promote the involvement of and support by each Subsidised Resident's family/whanau in the care of the Subsidised Resident;

- g. You must provide the following support and care intervention services to all Subsidised Residents:
 - i. supervision and/or assistance with activities of daily living and personal care as determined by the individual needs of each Subsidised Resident;
 - ii. a designated staff member who is skilled in and accountable for assessment, implementation and evaluation of social, diversional and motivational recreation programmes for each Subsidised Resident;
 - iii. for each Subsidised Resident, a written and implemented social and recreational programme of activities planned to meet the identified interests, stated preferences and level of ability/disability of the Subsidised Resident. You must ensure that this activity programme is evaluated and reviewed each time the Care Plan is reviewed; and,
 - iv. for each Subsidised Resident, appropriate activities that ensure diversion at appropriate times during the Day, in accordance with the needs identified in the Care Plan of each Subsidised Resident. The programme must be appropriate to the needs of older people with mental health conditions.
- h. The programme referred to in clause D16.5(g)(iii) shall include group and individual activities and involvement with the wider community. Information related to the preferred activities and level of involvement will be documented in the Subsidised Resident's records.
- i. Primary Medical Treatment
 - i. You must ensure that:
 - 1. each Subsidised Resident is examined by a General Practitioner or Nurse Practitioner within 2 Working Days of admission, except where the Subsidised Resident has been examined by a Medical Practitioner or Nurse Practitioner not more than 2 Working Days prior to admission, and you have a summary of the Medical Practitioner's or Nurse Practitioner's examination notes. After the initial examination, the Subsidised Resident must be examined not less than once a month and as clinically indicated (as assessed by a Registered Nurse) except where the Subsidised Resident's medical condition is stable as assessed by the General Practitioner or Nurse Practitioner, in which case the Subsidised Resident may be examined by a General Practitioner or Nurse Practitioner less frequently than monthly, but at least every three months. This exception must be noted and signed in the Subsidised Resident's medical records by the General Practitioner or Nurse Practitioner;
 - 2. the General Practitioner or Nurse Practitioner reviews each Subsidised Resident's medication at least every three months. The Subsidised Resident's medication chart must be noted and signed by the General Practitioner or Nurse Practitioner at each review; and

3. On-call emergency medical services are available to all Subsidised Residents at all times. All costs of such emergency medical services must be covered by you;
- ii. A Subsidised Resident may choose to be attended by a General Practitioner or Nurse Practitioner of their own choice who agrees to visit the Unit and maintain the Unit's medical records as prescribed in this contract. If a Subsidised Resident retains his or her own General Practitioner or Nurse Practitioner, that Subsidised Resident is responsible for any cost over and above that which you pay per Subsidised Resident for the General Practitioner or Nurse Practitioner contracted by you;
- iii. If a Subsidised Resident initiates a visit from a General Practitioner or Nurse Practitioner without the prior approval of the Registered Nurse or Manager or Clinical Manager, you may require the Subsidised Resident to bear the full cost of the visit if such a visit is not in accordance with clause D16.5(i)(i)(1);
- iv. You must provide the treatment programme prescribed by a Medical Practitioner or Nurse Practitioner to assist the Subsidised Resident to develop and maintain functional ability. This may include such goal and outcome orientated treatment as physiotherapy, respiratory therapy, occupational therapy, speech language therapy, dietetics and podiatry. The treatment programme must be reviewed by a Registered Nurse at such regular intervals as are specified by the Medical Practitioner or Nurse Practitioner;
- v. Where a Subsidised Resident requires specialist assessment services (for example, where there has been a marked deterioration in the Subsidised Resident's functionality or health status) and a General Practitioner or Nurse Practitioner refers a Subsidised Resident to:
 1. rehabilitation services (for example, assessment, treatment and rehabilitation services); or
 2. Mental Health Services for Older People; or
 3. specialist nursing or allied health services available through DHB community health providers,you are not required to provide such services, but must ensure that the Subsidised Resident has access to such services; and
- vi. If you choose to refer the Subsidised Residents to private therapists, the costs of such private therapists must be met by you.

D16.6 Management of Disturbed Behaviour

- a. You must have a written policy and guidelines for management of disturbed behaviour.
- b. The policy referred to in clause D16.6(a) shall include (but is not limited to):

- i. Mechanisms to ensure the causes of a resident's verbal or physical aggression are investigated and steps taken wherever possible to alleviate the problem;
- ii. Strategies for the provision of a low stimulus environment, for example, providing a specified room (other than a Resident's bedroom);
- iii. Principles of protection of all residents and others (visitors and staff) in the Unit;
- iv. Mechanisms to ensure any form of restraint to modify residents' behaviour are used as a last resort in the least restrictive way possible and that they comply with the Approved Service Standard relating to restraint minimisation;
- v. The orientation programme for newly engaged staff referred to in clause D17.9(a) and a policy for ongoing learning that provides them with an understanding of the skills necessary to deal with situations where a resident shows signs of disturbed behaviour;
- vi. Where appropriate, strategies for the provision of a sound protected environment.

D17. HUMAN RESOURCES

D17.1 You must:

- a. Provide sufficient staff to meet the health and personal care needs of all Subsidised Residents at all times; and
- b. Take all reasonable steps to ensure that at all times every Health Practitioner who practises at your Unit, whether as an employee or as a contractor:
 - i. is registered under the Health Practitioners Competence Assurance Act 2003 with the relevant Responsible Authority;
 - ii. has a current annual practising certificate issued by the relevant Responsible Authority;
 - iii. does not practise outside his or her scope of practice as set by the relevant Responsible Authority; and
- c. Take all reasonable steps to ensure that at all times:
 - i. you are aware of every Health Practitioner's scope of practice as set by the relevant Responsible Authority;
 - ii. you are informed if a Health Practitioner's scope of practice changes;
- d. Inform the relevant Responsible Authority whenever a Health Practitioner employee resigns or is dismissed from his or her employment or under his or her contract for reasons relating to competence.

D17.2 If you receive notification from any Person that may raise a doubt about the safety of the services provided by a Health Practitioner employed or contracted by you, including but not limited to notification from a Responsible Authority that the Responsible Authority has reason to believe that

a registered Health Practitioner employed or contracted by you may pose a risk of harm to the public, you must take all action that is appropriate in the circumstances to ensure the care and treatment provided by the Health Practitioner to Subsidised Residents is safe and is of an appropriate standard.

D17.3 In your Unit:

- a. subject to clauses D17.3(b) and D17.4, the distribution of Care Staff over a 24 hour period shall be in accordance with the needs of the Subsidised Residents as determined by a Registered Nurse;
- b. there shall be a minimum of one Registered Nurse and one other Care Staff member On Duty at all times;
- c. the layout of the Unit must be taken into consideration when determining the number and the distribution of Care Staff required to meet the needs of the Subsidised Residents under clauses D17.1 and D17.3(a);

D17.4 Shared Staff between 10.00pm and 7.00am

If you provide Age Related Residential Care from a Facility that is Co-located with your Unit and:

- a. the total number of Subsidised Residents at the Unit and residents at the Facility is 50 or less; and
- b. those residents all reside on the same physical level of the Co-located Unit and Facility;

then clause D17.3(b) does not apply for the period between 10.00pm on any day and 7.00am on the next day if, during that period and to the extent permitted by laws relating to the number and qualifications of Registered Nurses on duty at a Unit or Facility, there is at least:

- c. one Care Staff member On Duty in the Unit; and
- d. one Care Staff member on duty in each part of your Facility where you provide any or all of Continuing Care (Hospital), Specialist Dementia Services, and Rest Home Care; and
- e. one other Care Staff member on duty, who may be on duty at both the Unit and the Facility,

provided that at least one of the Care Staff members is a Registered Nurse.

D17.5 Manager

- a. You must engage a Manager who is either a General Practitioner, a Nurse Practitioner or a Registered Nurse and who holds a current practising certificate. The Manager must hold a current qualification or have experience relevant to both management and the health and personal care of older people who require Aged Residential Hospital Specialised Services. The Manager must be able to provide evidence of maintaining at least 8 hours annually of professional development activities related to managing a Hospital;

- b. The Manager must ensure the Subsidised Residents of the Unit are adequately cared for in respect of their everyday needs, and that services provided to Subsidised Residents are consistent with obligations under legislation and the terms of this Agreement;
- c. Where you provide Age Related Residential Care Services at a Facility that is Co-located with your Unit, the Manager you have engaged under clause D17.5(a) may act as Manager of both the Services and the Age Related Residential Care Services so long as they are being delivered at a single Facility.

D17.6 Registered Nurses

- a. Registered Nurses must be employed, contracted or otherwise engaged by you and are responsible for:
 - i. the development of an initial Care Plan within 24 hours of admission;
 - ii. the co-ordination and documentation of a Care Plan within 3 weeks of admission in accordance with clause D16.3;
 - iii. ensuring that the Care Plan reflects the assessments and the recommendations of other health professionals where their input is required;
 - iv. ongoing re-assessment and review of Care Plans in accordance with clauses D16.3 and D16.4;
 - v. implementation/delegation of nursing tasks;
 - vi. supervision and provision of care according to each Subsidised Resident's Care Plan;
 - vii. acting as a resource person and fulfilling an education role;
 - viii. monitoring the competence of nursing and Care Staff to ensure safe practice;
 - ix. providing advice and assistance to management on the staff's training needs.

D17.7 Diversional/Recreational Therapist

- a. You must employ, contract or otherwise engage a person skilled in assessment, implementation and evaluation of diversional and motivational recreation, such as a diversional therapist.
- b. The person referred to in clause D17.7(a) is responsible for assessing, implementing and evaluating, a diversional and motivational recreational program for each Subsidised Resident.

D17.8 Care Staff for Rest Homes

You must maintain records that document the hours worked by Care Staff in the Unit. The hours documented in the records must list only the actual hours worked by Care Staff in providing the Services at the Unit for which we are liable to pay you under this Agreement. For the avoidance of doubt, the actual hours worked by Care Staff in providing the Services to Subsidised Residents in a

part of the Unit subject to a Licence-to-Occupy or similar arrangement must be included in the hours worked.

D17.9 Orientation and Competency of Newly Engaged Care Staff

- a. You must ensure that all newly engaged Care Staff receive a planned orientation programme that familiarises them with your philosophy and vision, the physical layout of your Unit, their job description, policies, procedures, protocols and guidelines relevant to their engagement, and, non-clinical and clinical emergency protocols.
- b. In relation to Care Staff employed, contracted, or otherwise engaged by you on a short-term basis, you will meet your obligations under clause D17.9(a) if you ensure that such Care Staff are familiar with the physical layout of your Unit, including the location of emergency exits, emergency protocols, and contact details for emergency and senior staff of your Unit.
- c. You shall ensure all staff who will be in direct contact with the Subsidised Residents have completed education that is related to the care of older people. Those staff who have not completed the training at the time of their appointment must complete appropriate training within six months of appointment. The training must address:
 - i. the ageing process, including sensory, physical, cognitive, psycho-social, spiritual and cultural aspects;
 - ii. practical care skills;
 - iii. dementia care;
 - iv. challenging behaviour;
 - v. mental illness and aging;
 - vi. awareness of cultural issues;
 - vii. communication, including sensory and cognitive loss and other barriers to communication, communication aids/ assistive technology;
 - viii. observation and reporting;
 - ix. promotion of independence and recognition of individuality;
 - x. understanding of Subsidised Residents' rights.
- d. You may arrange the education referred to in clause D17.9(c) at your Unit or externally. Any staff member carrying out tasks, procedures, or treatment must have demonstrated they are competent at performing the task, procedure and treatment, and follow documented policies, and protocols developed by you to ensure safe practice.

D17.10 Staff Support and Guidance

- a. Any Registered Nurse or Health Practitioner carrying out a delegated medical task or a specialised procedure or treatment must have demonstrated prior competency in the task,

procedure or treatment, and follow documented policies, and protocols you have developed to ensure safe practice.

- b. Where certification is required to carry out a particular task or specialised procedure (for example, an I.V. Certificate), Care Staff must have such certification.
- c. Tasks specified in clause D17.10(a) above shall be carried out in accordance with the relevant accepted ethical and professional standards.
- d. Strategies and/or protocols shall be operational to ensure that advice and/or support is available to On Duty Staff at all times, should the need arise.
- e. You must implement protocols to guide staff managing clinical and non-clinical emergencies.
- f. You must plan and undertake ongoing staff performance appraisals. Such appraisals must be documented at least annually.

D17.11 Ongoing Programme of Staff Development

- a. You must undertake a planned, documented programme of staff development or in-service education, with at least 8 hours of programmes being provided annually, including courses attended other than at your Unit. You must keep a written record of staff attendance at such programmes.
- b. You must ensure that all Registered Nurses employed, contracted or otherwise engaged by you have on-going training in the care of older people affected by mental health conditions, dementia and the ageing process.
- c. Subject to clause D17.11(d), you must ensure that all Care Givers have passed the following unit standards (or any unit standards registered in accordance with the Education Act 1989 on the national qualifications framework in substitution for these listed unit standards):
 - i. 5012 Core Health – Lifting and Positioning;
 - ii. 5019 Support of Older Person – Physical Needs;
 - iii. 5020 Support of Older Person – Rights and Responsibilities;
 - iv. 17029 Support of Older Person – Dementia and Daily Living Activities;
- d. You must ensure that:
 - i. in respect of unit standards 5012, 5019, and 5020, Care Givers commence study within 6 months of their appointment and pass those standards within 12 months of their appointment; and
 - ii. in respect of unit standard 17029, Care Givers commence study within 6 months of passing unit standards 5012, 5019, and 5020, and pass unit standard 17029 within 12 months of passing in unit standards 5012, 5019, and 5020.
- e. You must maintain records of staff achievement in such standards.

D18. SUPPLIES

D18.1 Emergency Provision of Personal Supplies

You must provide emergency supplies of toothpaste, toothbrushes, disposable razors, shampoo, and soap on those occasions when the Subsidised Resident's own supply is not available.

D18.2 Provision of Pharmaceuticals

- a. Your liability for payment of prescribed medication is limited to the payment of the Government's prescription charge, any manufacturer's part charge and any package and delivery charge by the Pharmacist.
- b. You are also responsible for:
 - i. discussing with the Subsidised Resident's General Practitioner the prescribing of medications that are listed in the pharmaceutical schedule maintained and managed by Pharmac under the NZPHD Act;
 - ii. encouraging the General Practitioner to prescribe generically to lessen the occasions when a manufacturer's part charge applies; and
 - iii. informing the Subsidised Resident in writing that they may be required to pay the cost of any pharmaceutical over and above the charges stated above.

D18.3 Provision of Dressing Supplies

You must provide all dressings and supplies used in treatments. These must be of an appropriate standard, as determined by a Registered Nurse, to meet the need of the Subsidised Resident.

D18.4 Provision of Continence Supplies

- a. You must provide continence management products that are of an appropriate standard to meet the assessed needs of each Subsidised Resident, as set out in the Care Plan.
- b. For those Subsidised Residents identified as requiring specialist continence advice and support, you must obtain appropriate continence management advice, which may be (but is not required to be) from the continence advisory service of the DHB's community health services.

D19. QUALITY FOCUS AND RISK MANAGEMENT

D19.1 Safety Obligations

- a. You must maximise the safety of Services delivered to Subsidised Residents through implementing operational management strategies/programmes, which include culturally appropriate care, to minimise risk of unwanted events and enhance quality.
- b. You must protect Subsidised Residents, visitors and staff from exposure to avoidable and/or preventable risk and harm.

D19.2 Safe Practices

You must document and implement policies in relation to:

- a. Infection Control;
- b. Occupational Health and Safety;
- c. Safe food handling;
- d. Safe management and administration of medications;
- e. Safe storage and use of chemicals/poisons;
- f. Prevention, detection and removal of abuse/neglect of Subsidised Residents and abuse of visitors and/or staff;
- g. Fall prevention practices, to reduce the risk that a Subsidised Resident may fall. The policy must include comment on your following obligations under this Agreement:
 - i. assessment of the Subsidised Resident's physical mobility and transfer requirements;
 - ii. programmes that promote safe mobility and transfers;
 - iii. the removal of hazards that could cause falls; and
 - iv. provision of equipment such as hoists and other relevant aids as required elsewhere in this Agreement.

D19.3 Risk Management

- a. You must document and implement policies, processes and procedures for:
 - i. identifying key risks to health and safety;
 - ii. evaluating and prioritising those risks based on their severity, the effectiveness of any controls you have and the probability of occurrence;
 - iii. dealing with those risks and, where possible, reducing them;
 - iv. minimising the adverse impact of the internal emergencies and external or environmental disasters on the Subsidised Resident, visitors and staff;
 - v. working with the organisations who have responsibility for co-ordinating internal and external (environmental) disaster services;
 - vi. accident and hazard management that safeguard Subsidised Residents, visitors and staff from avoidable incidents, accidents and hazards.
- b. Each policy, process, or procedure developed under clause D19.3(a) must include definitions of all incidents and accidents, and must clearly outline the responsibilities of all staff, including:

- i. taking immediate action;
 - ii. reporting, monitoring and corrective action to minimise incidents, accidents and hazards, and improve safety; and
 - iii. debriefing and staff support as necessary.
- c. For the purposes of clause D19.3(a) key risks include, but are not limited to, the following:
- i. theft/burglary;
 - ii. fire;
 - iii. accidents/incidents;
 - iv. chemicals incidents; and
 - v. disposal of waste.
- d. You must maintain a record of any accidents or incidents, and must notify us immediately of serious accidents or incidents involving or affecting any Subsidised Resident.

D19.4 Quality Improvement Programme

- a. You shall develop and implement a Quality Improvement Programme to enable a high standard of service to be provided in accordance with the Approved Service Standards and otherwise in accordance with this Agreement, and to ensure the Services are provided so as to achieve the best outcome for Subsidised Residents.
- b. You must document a Quality Improvement Plan as part of the Quality Improvement Programme and must ensure that such a Plan is implemented, evaluated for its effectiveness, and that any necessary corrective action is taken.
- c. The Quality Improvement Plan must include (but is not limited to):
- i. an explicit quality philosophy;
 - ii. clear quality objectives;
 - iii. quality improvement risk management systems;
 - iv. systems for monitoring audit compliance;
 - v. designated organisational and staff responsibilities;
 - vi. Resident input into Services and into development of the Quality Improvement Plan;
 - vii. how you will address Maori issues including recognition of:
 - 1. the Maori Health Plan set out in clause A3; and
 - 2. how the Maori Health Plan will be put into effect through the provision of the Services.

- d. You are expected to monitor and evaluate the delivery of Services against the Quality Improvement Plan, including standards of service. Such quality monitoring mechanisms must include, but are not limited to, the following:
 - i. Subsidised Resident feedback surveys;
 - ii. quality review procedures as a demonstrable part of service delivery; and
 - iii. external reviews.

D19.5 Quality Assurance Activities

To avoid doubt, it is your responsibility to apply to the Ministry of Health for a quality assurance activity to be protected under the Health Practitioners Competence Assurance Act 2003 if you would like the protections in that Act to apply to you. Any protected quality assurance activity that we obtain will not cover services provided by you.

D19.6 Major Incident and Health Emergency Plan

- a. You must develop and implement a major incident and health emergency plan to ensure that the Services continue to be provided during health, civil defence, or other emergencies.
- b. The plan must:
 - i. identify how the Services will be provided in health, civil defence, or other emergencies;
 - ii. identify the needs of Subsidised and Non-Subsidised Residents and all staff and how those needs will be provided for during a health, civil defence, or other emergency;
 - iii. identify how you will respond to a worst case scenario pandemic event (40% of the population affected with 2% death rate);
 - iv. meet the requirements set out in the most recent version of Part Five (which relates to health) of the national civil defence emergency management plan (or any document that supersedes or replaces that plan) issued by the department responsible for civil defence and other emergencies; and
 - v. be consistent with our pandemic and emergency plans (which are available from us on request).
- c. You must review the major incident and health emergency plan at regular intervals to ensure that it continues to meet the requirements of this clause.
- d. You must provide us with a copy of your major incident and health emergency plan on request.
- e. When requested by us, you must participate in processes to ensure that emergency responses are integrated, coordinated and exercised. The level of participation you will be required to provide will be in accordance with the Services you provide and the expected roles and services you would provide in an emergency situation.

D20. OTHER SERVICES

D20.1 You must ensure that each Subsidised Resident has access to the services, listed in this clause, as required based on the assessed need of each Subsidised Resident:

- a. Needs Assessment and Service Co-ordination Services;
- b. Assessment, treatment and rehabilitation services contracted by the Ministry;
- c. Primary care & community nursing services for advice, information sharing and specialist assessment;
- d. Laboratory services;
- e. Radiological services;
- f. Dental services;
- g. Specialist medical services;
- h. Podiatry services that are not prescribed by a Medical Practitioner or Nurse Practitioner;
- i. Maori provider organisations;
- j. Ministry of Social Development;
- k. Social workers;
- l. Advocacy services;
- m. Supporting voluntary organisations such as Alzheimers New Zealand and Stroke Foundation; and
- n. Socialisation outside your Unit.

D20.2 You must meet the costs of transport, including specialised transport required for clinical reasons, to and from the services in clause D20.1(a) to (h), but are not required to meet the cost of transport to and from the services listed in clause D20.1(i) to (n).

D20.3 You must inform each Subsidised Resident about any specialist travel and accommodation funding to which that Subsidised Resident may be entitled, and refer them to us for information about this funding as appropriate.

D20.4 Accompanying Subsidised Residents

As part of the Services you will:

- a. Use your best endeavours to ensure that Subsidised Residents are accompanied to such appointments by an appropriate relative or friend; or
- b. If a relative or friend is not available, provide staff to accompany Subsidised Residents to appointments with the providers referred to in clauses D20.1(a) to D20.1(h), and any other

appointments for which the Subsidised Resident reasonably requires an accompanying Person.

D21. DISCHARGE, TRANSFER OR DEATH OF SUBSIDISED RESIDENT

D21.1 On discharge you must ensure that:

- a. Appropriate information is supplied to the healthcare facility or principal care giver responsible for the ongoing management of a Subsidised Resident being discharged prior to or at the time of discharge;
- b. Appropriate referrals are made to relevant community services and the Subsidised Resident's chosen General Practitioner in the case of discharge home; and,
- c. Family/Whanau are involved, unless the Subsidised Resident requests otherwise.

D21.2 Where a Subsidised Resident wishes to depart from your Unit, you must:

- a. Advise the Needs Assessment and Service Co-ordination Service as soon as possible after you become aware that any Subsidised Resident wishes to depart your Unit, including where the Subsidised Resident wishes to transfer to another provider's unit; and
- b. Facilitate the Needs Assessment and Service Co-ordination Service's involvement in that departure.

D21.3 Where you wish to transfer a Subsidised Resident temporarily to the unit or facility of another Person, the requirements of this Agreement continue to apply to you in respect of that Subsidised Resident during that Subsidised Resident's temporary residence elsewhere.

D21.4 If a Subsidised Resident wishes to transfer to a new residential care provider of their own volition, you must support the transfer and work with the Needs Assessment and Service Co-ordination Service to effect a smooth transfer of the Subsidised Resident.

D21.5 You must ensure all relevant information relating to the Subsidised Resident is made available to the new provider.

D21.6 You must notify the Needs Assessment and Service Co-ordination Service, the Ministry of Social Development (or any other government agency that is, at the relevant time, responsible for administering the Social Security Act), our Payment Agent, and us of the death of any Subsidised Resident within 24 hours of that Person's death, and comply with your policies and procedures developed under clause D10.

D21.7 Where Subsidised Residents are being removed from your Unit in accordance with clause A25.1, you must ensure that clause D21.5 is complied with.

D22. REPORTING REQUIREMENTS

D22.1 You must comply with the following reporting requirements in relation to the Services that you are providing as at the last day of the reporting period and that you may be contemplating providing in the future:

Service Unit	PU Measure	Reporting Requirements	
Residential Aged		Frequency	Information
HOP 1035 Continuing Care Specialised	Beds	Quarterly	<ul style="list-style-type: none"> • Number of beds occupied by long term Non-Subsidised Residents • Number of beds occupied by long term Subsidised Residents • Total number of beds at your Facility in this category • Narrative report detailing any actual or potential changes, and the dates those changes will or may take effect, in the next quarter or beyond to the total number of beds at your Facility in this category